

# **STATE OF CALIFORNIA**

## **REPORT TO THE JOINT LEGISLATIVE BUDGET COMMITTEE**

### **Data to Facilitate Legislative Review of the Outcomes of the Dual Agency Program and Payment Changes**

**California Department of Social Services and the Department of  
Developmental Services Consumer Data Reporting Period**

**July 2015 to December 2015**



Welfare and Institutions Code section 11464(h)

## **LEGISLATIVE MANDATE**

Welfare and Institutions Code section 11464(h), added by Senate Bill 84 (Committee on Budget and Fiscal Review, Chapter 177, Statutes of 2007), requires the California Department of Social Services and the Department of Developmental Services to semiannually submit to the Joint Legislative Budget Committee a report to facilitate review of the outcomes of the rate structure changes for rates paid on behalf of children who are both consumers of California regional center services and receive Aid to Families with Dependent Children—Foster Care, Kinship-Guardianship Assistance Payments, or Adoption Assistance Program benefits.

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## **EXECUTIVE SUMMARY**

### **Background**

This report to the Joint Legislative Budget Committee represents the December 2015 data and provides the Legislature with information regarding the outcomes of establishing a rate structure for children who are both consumers<sup>1</sup> of California regional center services and receive Aid to Families with Dependent Children-Foster Care (AFDC-FC), Kinship-Guardianship Assistance Payment (Kin-GAP), or Adoption Assistance Program (AAP) benefits. A consumer of California regional center services is a child receiving services from a California regional center due to a developmental disability as defined by Welfare and Institutions Code (WIC) section 4512 (Lanterman Act) or a child receiving services under Government Code section 95000 (California Early Intervention Services Act [CEISA]). Children in the dual agency AFDC-FC population eligible to receive a dual agency rate are those children placed in a licensed community care facility that is not vendorized; the approved home of a relative; the approved home of a non-relative extended family member; the home of a non-related legal guardian; or the former non-related legal guardian when the guardianship of a child, otherwise eligible for AFDC-FC, has been dismissed due to the child attaining 18 years of age. For purposes of this report, the eligible population of children will be referred to as “dual agency” children.

The caseloads for this report cover December 2014 to December 2015. This report does not reflect all children in foster care receiving services from a California regional center. This report excludes caseloads of children placed in the certified home of a foster family agency (FFA) or a group home.

### **Summary**

This October 2016 report data reflects dual agency children in foster care for at least two days in December 2015, adoptions finalized by December 31, 2015, and dual agency children in foster care for at least two days in December 2015 who exited to Kin-GAP through September 30, 2015. Hereafter, this data period will be referred to as December 2015.

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<sup>1</sup> The word “consumer” is defined in WIC 4512(d) as a person who has been determined to have a disability that meets the definition of developmental disability in that section. For the purpose of this report, the word “consumer” is used to refer to both children who are consumers of regional center services, and to those who are receiving services under CEISA but who are under the age of three and have not yet been determined to have a developmental disability. This is consistent with the legislative intent of the report as set forth in WIC 11464(h) which includes children receiving CEISA services in the description of “consumers” for whom the data is to be reported.

## Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

The December 2015 data shows the total AFDC-FC caseload decreased and the percent of the AFDC-FC caseload in foster family home placements, as presented in Figure 1 (Page 4), has decreased, while the AAP caseload, as presented in Figure 3 (Page 5), has increased. The dual agency family home caseload percentage of the AFDC-FC foster family home caseload has increased from 4 percent in June 2014 to 5 percent of the total AFDC-FC caseload in December 2015. The dual agency adoption caseload percentage of the total AAP caseload increased from 4 percent of the total AFDC-FC caseload in December 2014 to 5 percent in December 2015.

Figures 6, 7, 8, 9, 10 and 11 (Pages 8 – 13), represent the dual agency caseload and rate payment information. The foster care rate payment for dual agency children receiving AFDC-FC and AAP included rate payments that were equal to foster family home basic rates or Alternative Residential Model (ARM) rates established by DDS.

Data for this report was collected from the AFDC-FC, AAP, and Kin-GAP 84 Form, the quarterly statistical report that includes information on the number and nature of Supplement to the Rate Requests for Dual Agency AFDC-FC, AAP, and Kin-GAP children. The related requests for the supplement to the dual agency rate for foster and adopted children with a developmental disability indicate that the actions taken are similar in the dual agency AFDC-FC and AAP, and Kin-GAP caseloads. The majority of the requests for a supplement to a dual agency rate are authorized upon initial request with 71 percent of dual agency children receiving AFDC-FC, and 92 percent of dual agency children receiving AAP benefits. The \$1,000 level of supplement was requested more than any other level of supplement to the dual agency rate; more than 90 percent in both the AFDC-FC and AAP caseloads as represented in Figures 14 and 15 (Pages 17 and 18).

## METHODOLOGY

To ensure reporting accuracy, the California Department of Social Services (CDSS) and the Department of Developmental Services (DDS) shared, matched, and analyzed the required data elements/information required by WIC section 11464(h). CDSS completes its file by matching records from the DDS database to the Child Welfare Services/Case Management System (CWS/CMS). CWS/CMS is the statewide computer system that links all 58 counties and the state to a common database for online client case management. The system assists caseworkers in recording client demographics, contacts, services delivered, and placement information. It also enables case workers to record and update assessments, create and maintain case plans, and manage the placement of children in appropriate foster homes or facilities.

Although CWS/CMS is a source of information for many data elements, it is not a payment system. Therefore, CDSS obtained payment information from county payment systems in order to report on payments made to dual agency children. The county payment systems are referred to as Consortium IV (C-IV); Los Angeles Eligibility, Automated Determination, Evaluation, and Reporting (LEADER) consortium; and California Work Opportunity and Responsibility to Kids Information Network (CalWIN)

## Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

consortium. CDSS then sent a file to DDS which compared the matched list for dual agency children to DDS' Purchase of Service (POS) expenditure data.

CDSS gathered additional information from different and distinct data sources to determine the impact of the dual agency rate structure in the AFDC-FC, Kin-GAP, and AAP Programs. These sources serve different purposes in program administration: caseload information, payment information related to the caseload, and actions taken to determine the appropriate rate for the caseload. The Cash Grant Caseload Movement Report (CA 237) and the Assistance Claim Expenditures (CA 800) monthly reports, submitted by counties to CDSS, identify the various funding sources and the number of California children receiving AFDC-FC, Kin-GAP, and AAP benefits. These reports allow for late reporting and may result in adjustments to caseloads for the reporting period.

The FC, AAP, and Kin-GAP 84 Form: "Supplement to the Rate Requests for Dual Agency AFDC-FC and AAP Children Quarterly Statistical Report" captures supplement to the dual agency rate data elements. The county welfare departments and CDSS adoption district offices record county specific information regarding the number and levels of supplements to the dual agency rate requested, the number of supplements to the dual agency rate authorized upon request, and the number of supplements to the dual agency rate denied upon request but subsequently authorized after the appeal. (The report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population.)

To calculate the percentage change from year to year in caseload numbers, the following formula is used:  $(\text{current} - \text{previous}) / \text{previous} = \text{percent}$ . The percentages have been rounded up and may not always equal 100 percent.

The DDS data was drawn from the Uniform Fiscal System and the Client Diagnostic and Evaluation Report. The regional center services-only populations in this report consist of children residing in the home of a parent or guardian, and for purposes of this report, are considered comparative to those receiving AFDC-FC or AAP benefits.

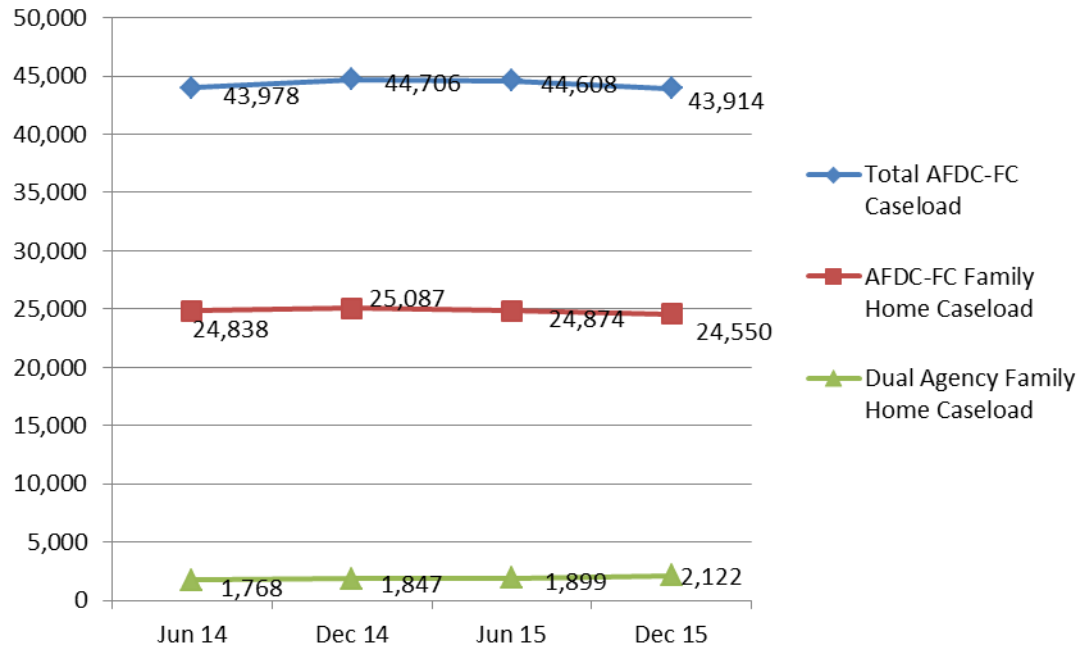
### **Limitations**

The following limitations were identified in the collection and review of dual agency data:

- The report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population.
- The data represents a point in time and eligibility determinations may have been in progress during the time of the data run.
- There is a lag between the date of a rate supplement request and the date of a decision to approve or deny that request. (*This is evident in Figures 14 and 18*).
- Information is not available to determine whether the level of the rate supplement request or the non-eligibility of a child is the reason for a denial or appeal.

## AFDC-FC/AAP/KIN-GAP CASELOADS

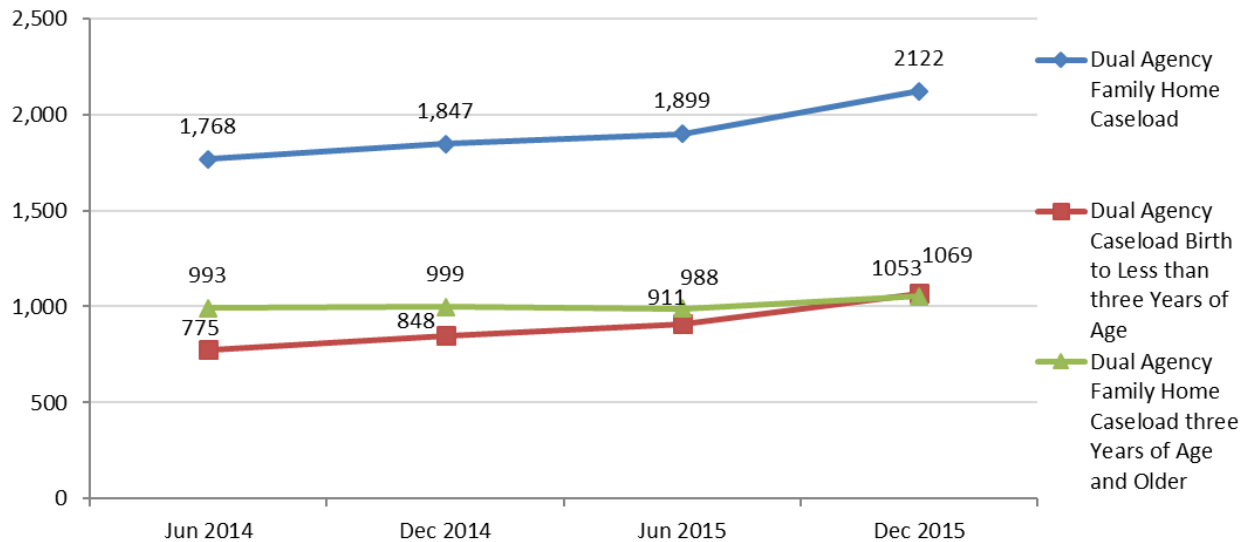
**Figure 1: Foster Care Caseloads**



Data Sources: CA 237 and CWS/CMS and DDS matched file, June 2014 - December 2015.

Figure 1 indicates that between June 2014 and December 2015, the total AFDC-FC caseload decreased from 43,978 to 43,914, a decrease of 1 percent. During the same period, the AFDC-FC Home caseload decreased by 1 percent, and the Dual Agency Family Caseload increased by 20 percent.

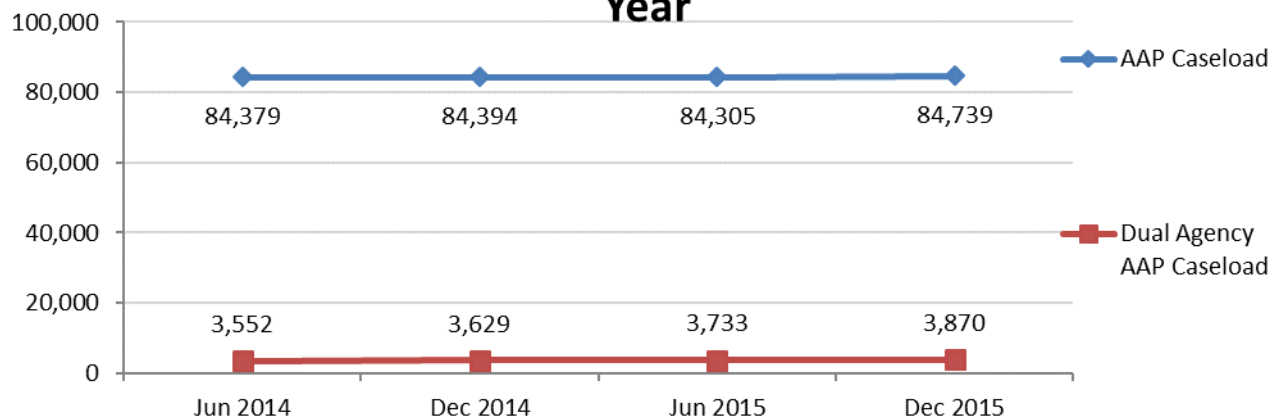
**Figure 2: Dual Agency Foster Care Caseloads By Year and Age**



Data Sources: CA 237 and CWS/CMS and DDS matched file, June 2014 - December 2015.

Figure 2 indicates that the Dual Agency Family Home caseload increased by 20 percent from June 2014 through December 2015. During the same period, the Dual Agency birth to three years of age caseload increased by 38 percent. The Dual Agency Family Home three years of age and older caseload increased slightly, by 6 percent.

**Figure 3: AAP and Dual Agency AAP Caseloads By Year**

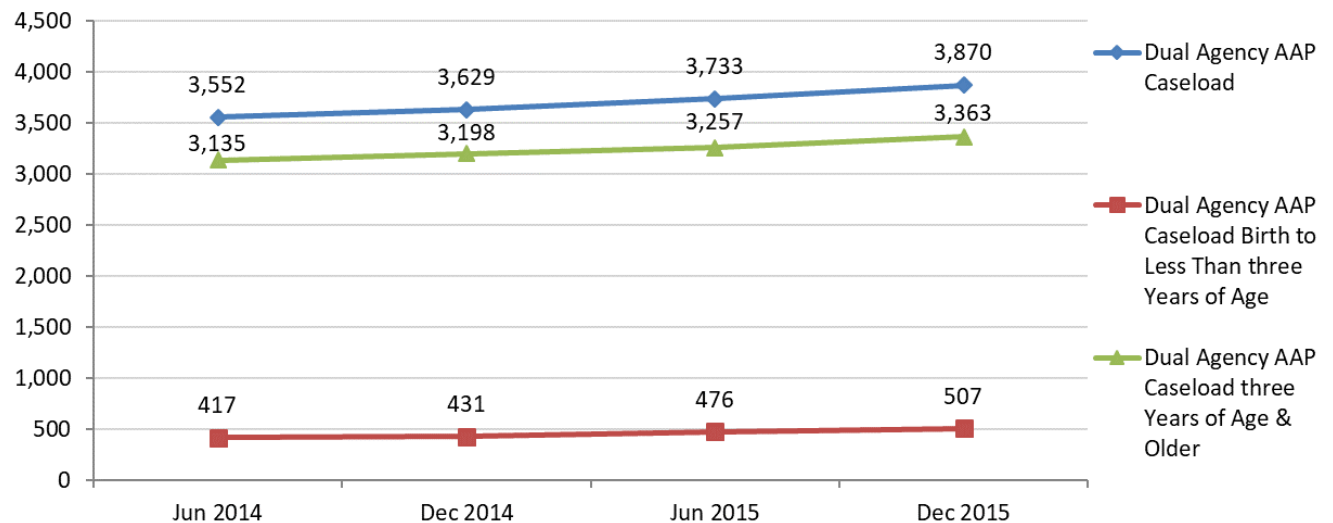


Data Sources: CA 237 and CWS/CMS and DDS matched file, June 2014 - December 2015.

Figure 3 indicates that the number of dual agency children in the AAP Caseload remained steady with no major increases or decreases between June 2014 to December 2015. During that time, the Dual Agency AAP caseload increased from 8 percent to approximately 10 percent.



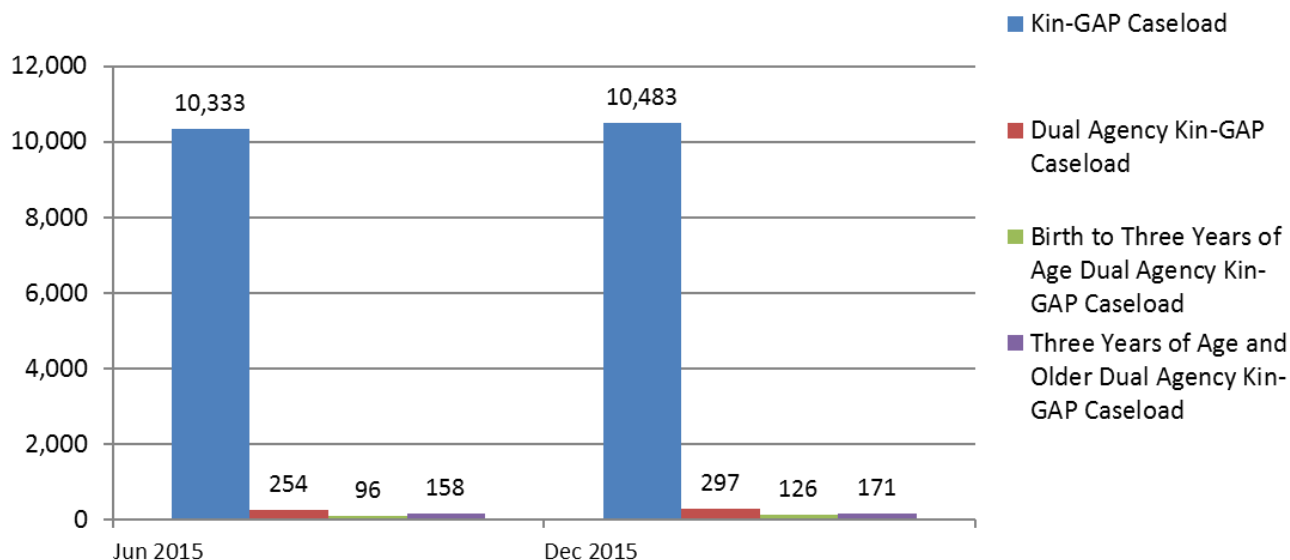
**Figure 4: Dual Agency AAP Caseloads  
By Year and Age**



Data Sources: CA 237 and CWS/CMS and DDS matched file, June 2014 - December 2015.

Figure 4 indicates the Dual Agency AAP birth to three years of age caseload increased from 12 percent in June 2014 to 13 percent of the caseload in December 2015. Additionally, Figure 4 indicates the Dual Agency AAP three years of age and older caseload represented 88 percent of the caseload.

**Figure 5: Dual Agency KIN-GAP Caseloads**



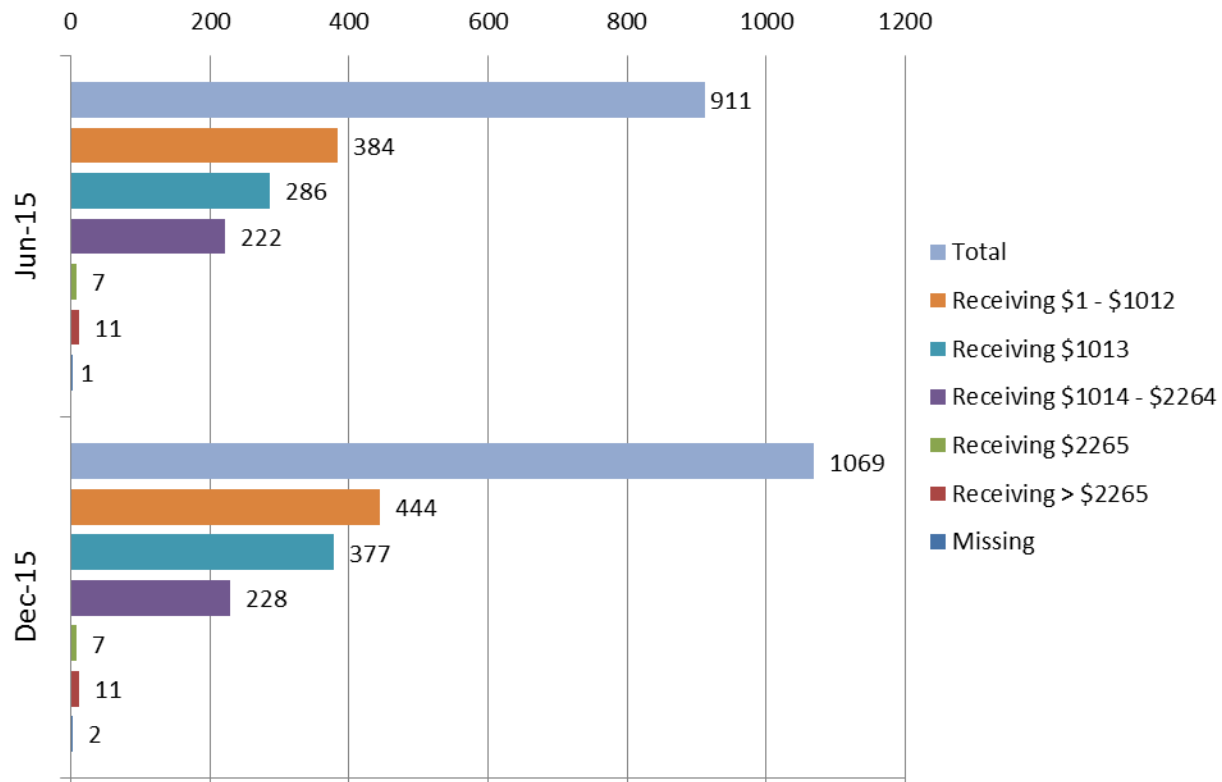
Data Sources: CA 237 and CWS/CMS and DDS matched file, June 2015 - December 2015.

Figure 5 indicates that the dual agency Kin-GAP caseload represents 3 percent of the total Kin-GAP caseload in December 2015 and 2 percent of the total Kin-GAP caseload in June 2015. In December 2015, 42 percent of the dual agency Kin-GAP caseload were birth to three years of age and 58 percent were three years of age and older. In June 2015, 38 percent of the dual agency Kin-GAP caseload were birth to three years of age and 62 percent were three years of age and older.

## AFDC-FC/AAP/KIN-GAP PAYMENT RATES

Payment rate data in Figures 6 through 11 were derived from the C-IV, LEADER and CalWIN payment systems. The payment rate data in Figures 6 through 11 represent the different amounts paid on behalf of the dual agency AFDC-FC, AAP and Kin-GAP caseloads. Some of the amounts paid were equal to foster family home basic rates, ARM rates established by DDS and dual agency rates. Missing payment information means the number of cases that CDSS matched with DDS did not have a record of payment being made in the reported months (June 2015 and December 2015). The June 2015 data in Figures 6 through 11 represent the dual agency rate established in statute with the California Necessities Index (CNI) increases that were effective July 1, 2014 and July 1, 2015. Foster Care caseloads in Figures 6 and 7 were based on family home placements in small family homes, foster family homes, approved relative and non-relative extended family member homes, tribe specified homes and homes of non-related legal guardians.

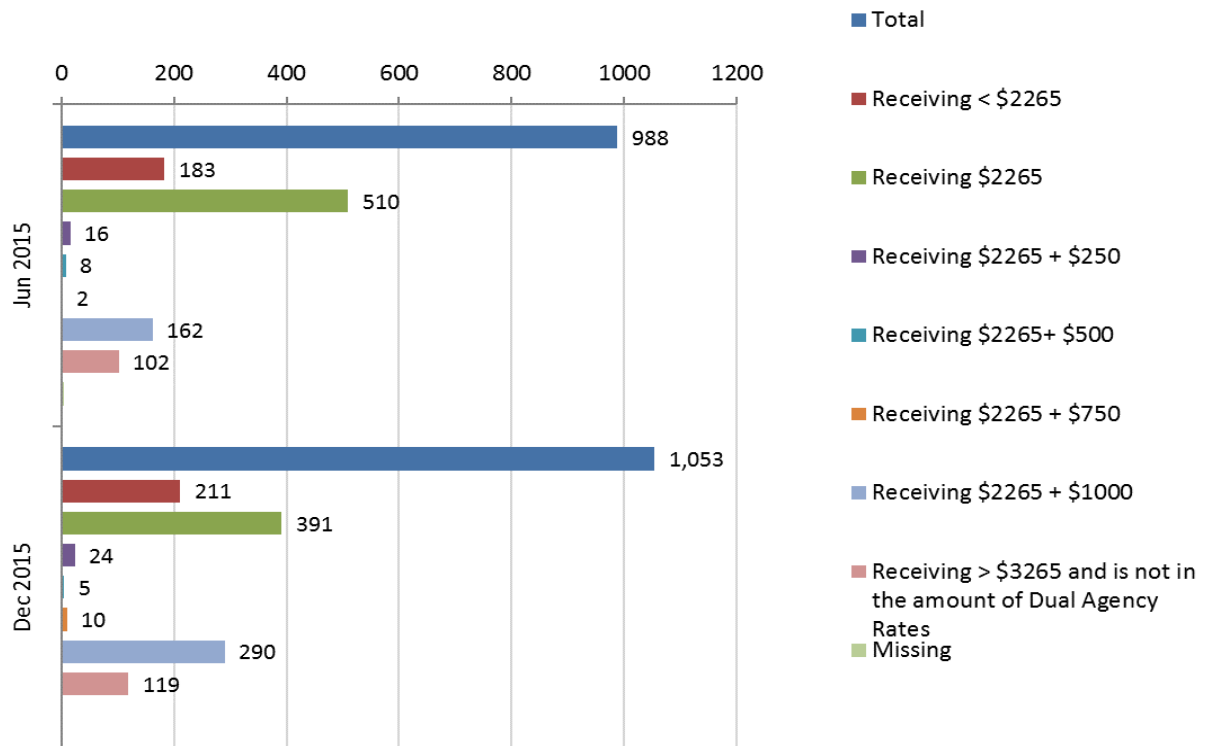
**Figure 6: Foster Care Dual Agency Caseload and Payment Rates Birth to Three Years of Age**



Data Sources: CWS/CMS, DDS, C-IV, Leader, CalWIN, June 2015 - December 2015.

Figure 6 indicates that in June 2015 there were 911 dual agency children ages birth to three, and in December 2015 there were 1,069 such children in a family home placement concurrently receiving services from a California regional center, including services under the CEISA. Additionally, the December 2015 data in Figures 6 through 11 represent the dual agency rate established in statute with the California Necessity Index (CNI) increases that were effective July 1, 2015 through June 30, 2016.

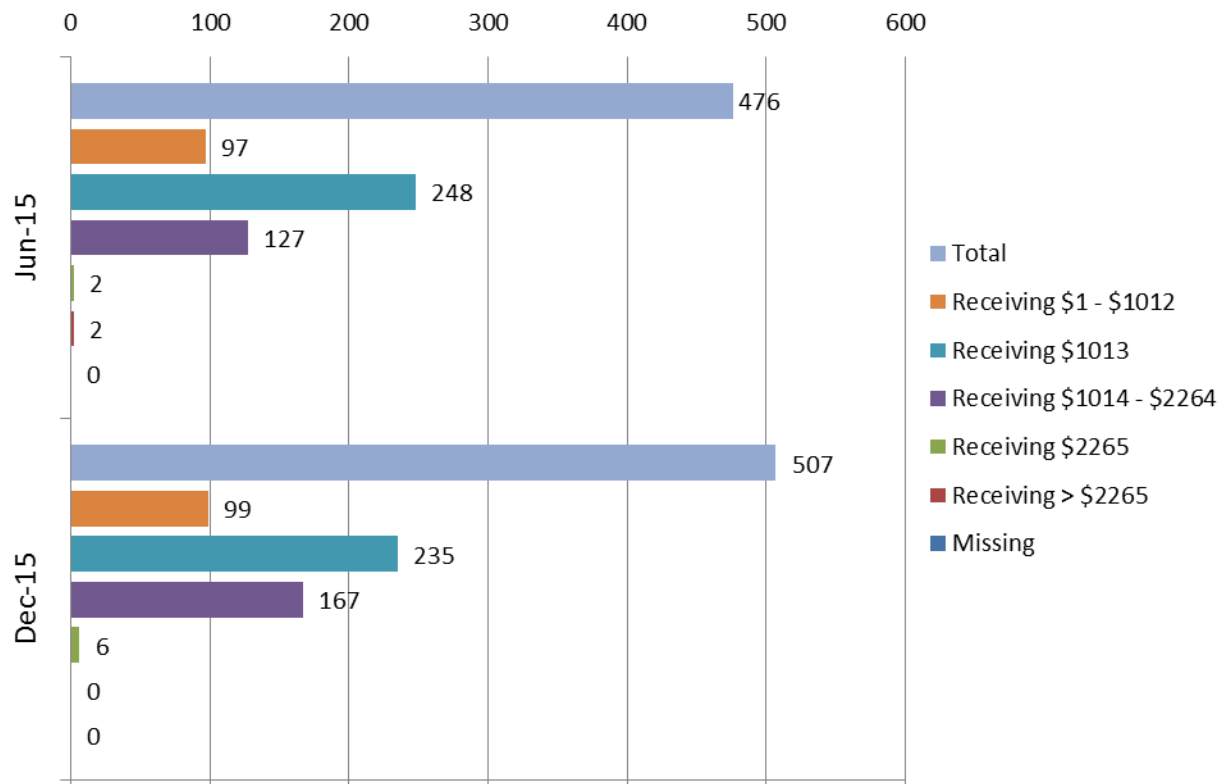
**Figure 7**  
**Foster Care Dual Agency Caseload Payment Rates**  
**Three Years of Age and Older**



Data Sources: CWS/CMS, DDS, C-IV, Leader, CalWIN, June 2015 - December 2015.

Figure 7 indicates that in June 2015 there were 988 dual agency children ages three and older and in December 2015 there were 1,053 such children in a family home placement concurrently receiving services from a California regional center, including services under the CEISA.

**Figure 8: AAP Dual Agency Caseload and Payment Rates  
Birth to Three Years of Age**



Data Sources: CWS/CMS, DDS, C-IV, Leader, CalWIN, June 2015 - December 2015.

Figure 8 indicates that in June 2015 there were 476 dual agency children ages birth to three and in December 2015 there were 507 such children receiving AAP and concurrently receiving services from a California regional center, including receiving services under the CEISA.

**Figure 9: AAP Dual Agency Caseload Payment Rates  
Three Years of Age and Older**

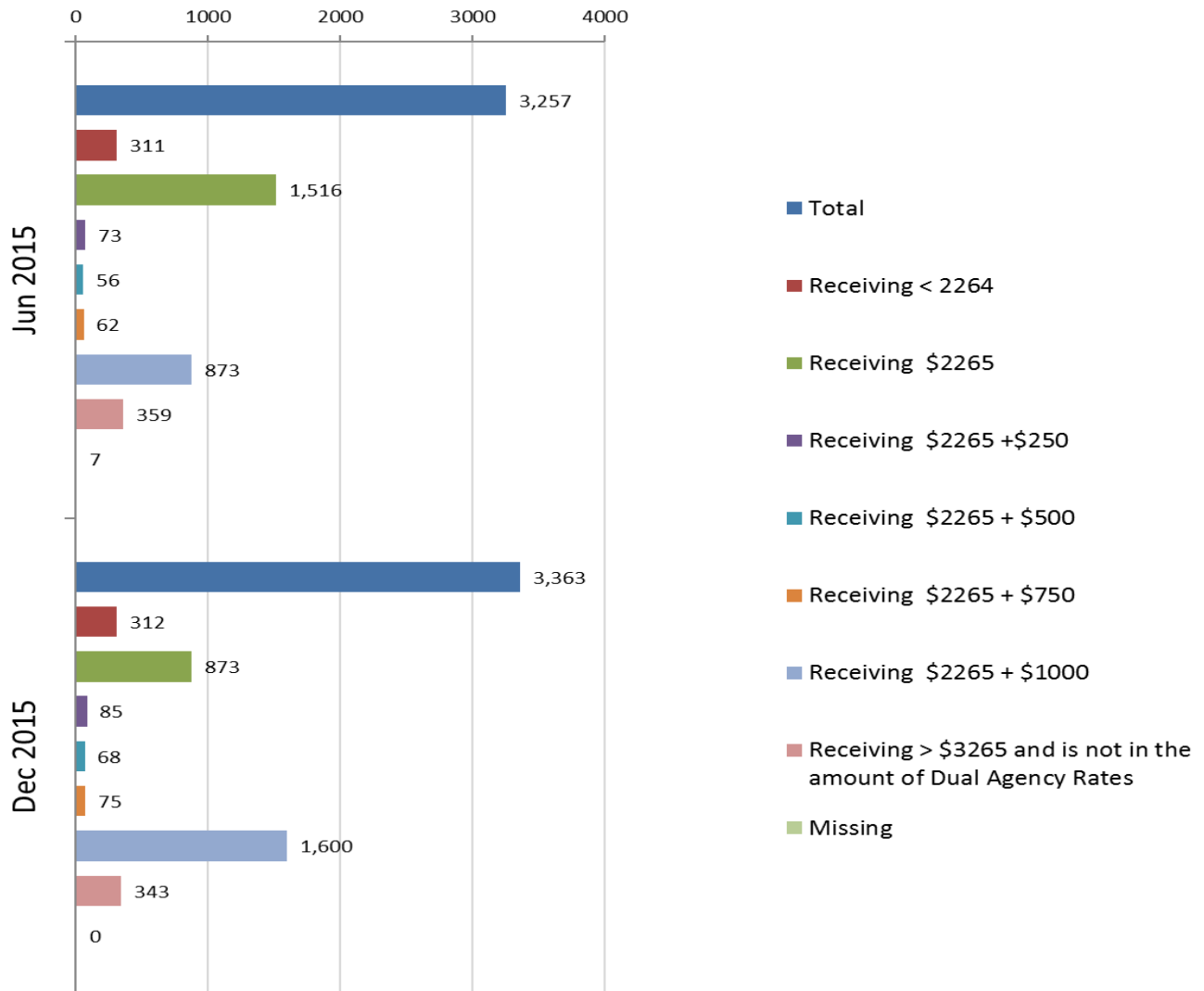
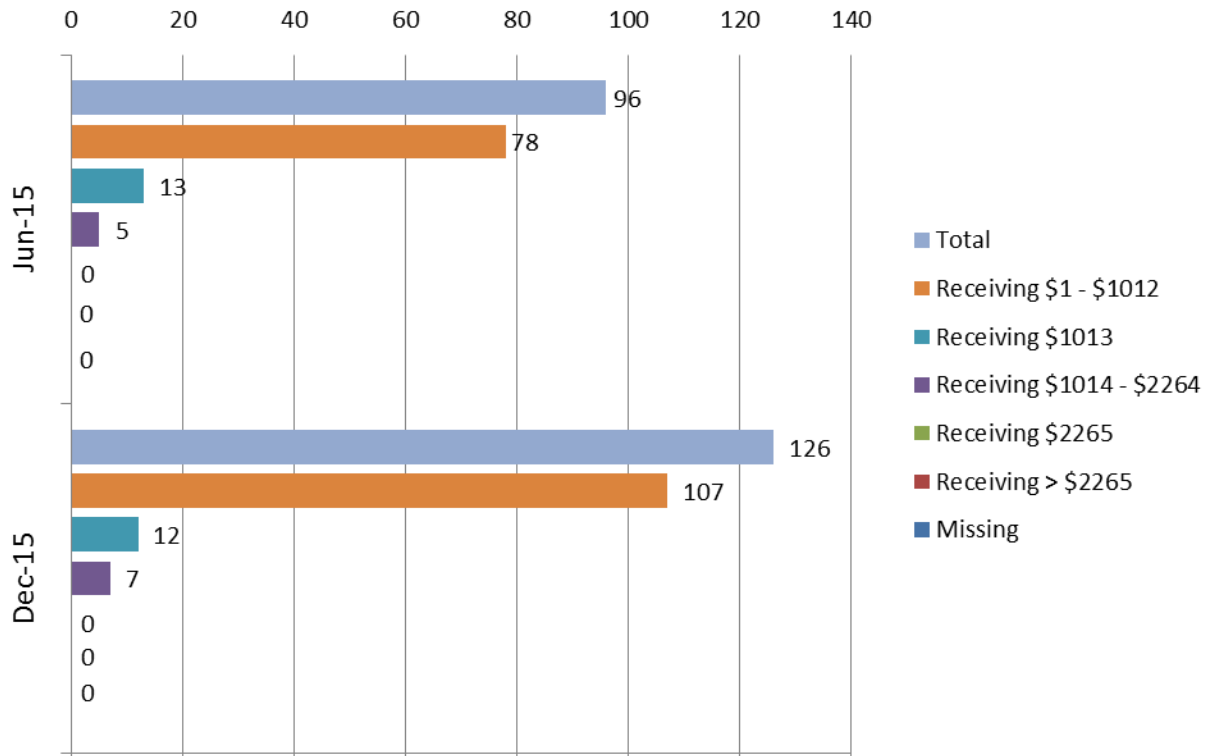


Figure 9 indicates that in June 2015 there were 3,257 dual agency children ages three and older and in December 2015 there were 3,363 such children receiving AAP and concurrently receiving services from a California regional center, including receiving services under the CEISA.

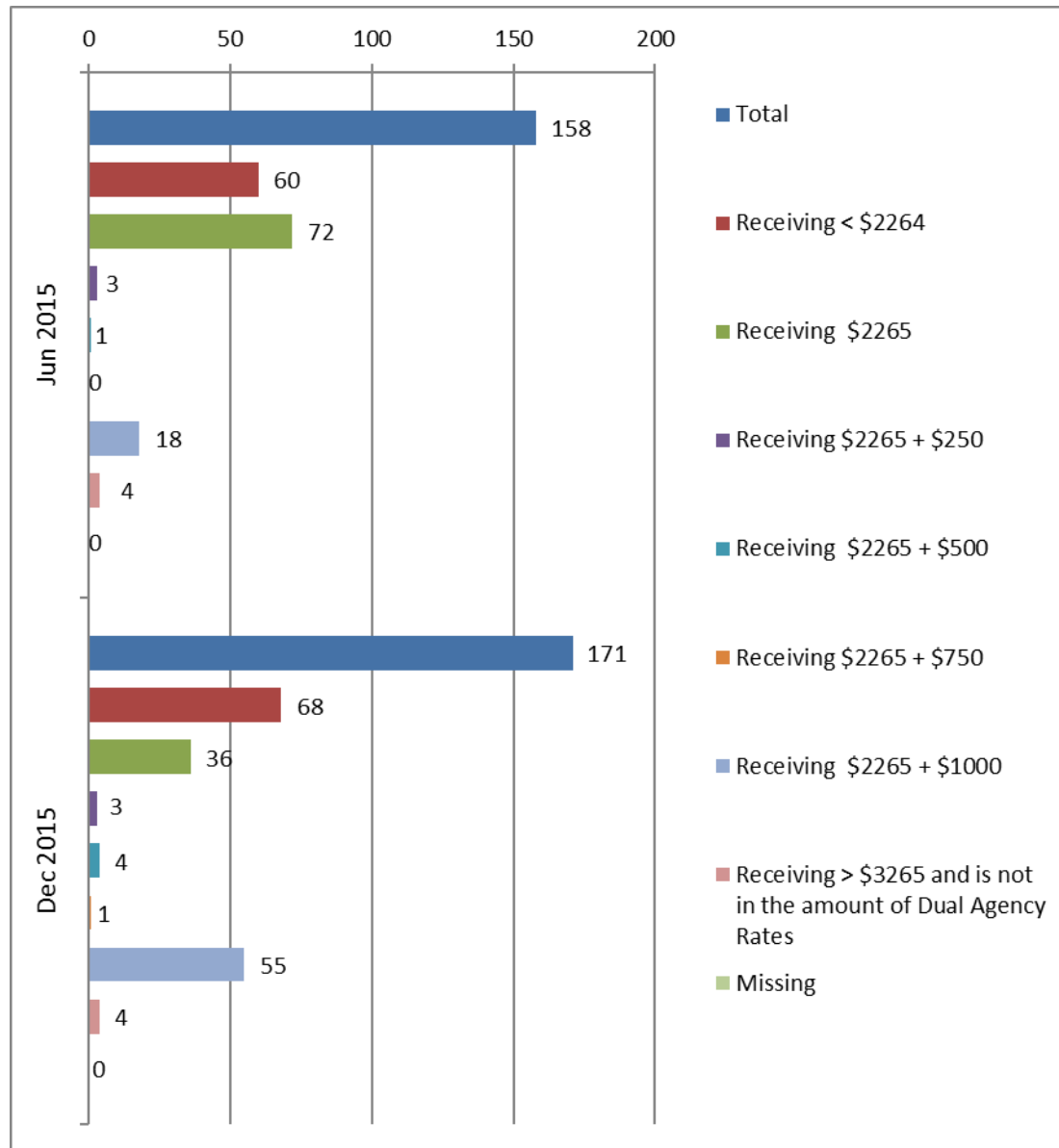
**Figure 10: Kin-Gap Dual Agency Caseload and Payment Rates Birth to Three Years of Age**



Data Sources: CWS/CMS, DDS, C-IV, Leader, CalWIN, June 2015 - December 2015.

Figure 10 indicates that in June 2015 there were 96 dual agency children ages birth to three and in December 2015 there were 126 such children receiving Kin-GAP and concurrently receiving services from a California regional center, including receiving services under the CEISA.

**Figure 11: Kin-Gap Dual Agency Caseload Payment Rates Three Years of Age and Older**



Data Sources: CWS/CMS, DDS, C-IV, Leader, CalWIN, June 2015 - December 2015.

Figure 11 indicates that in June 2015 there were 158 dual agency children ages three and older and in December 2015 there were 171 such children receiving Kin-GAP and concurrently receiving services from a California regional center, including receiving services under the CEISA.



## **SUPPLEMENT TO THE DUAL AGENCY RATE**

In 2008, CDSS and DDS, in consultation with stakeholders, were required to develop objective criteria to be used for determining eligibility for and the appropriate level of a supplement to the dual agency rate for a child three years of age and older based on the extraordinary care and supervision needs of the child. Three forms were developed:

SOC 835: "Supplement to the Dual Agency Rate-Multiple Questionnaire Worksheet",  
SOC 836: "Supplement to the Rate Eligibility Form", and  
SOC 837: "Supplement to the Rate-Questionnaire" based on the objective criteria counties use to determine the level of supplement to the dual agency rate.

WIC section 11464(h)(2)(E)(F) and (G) require CDSS to report the following:

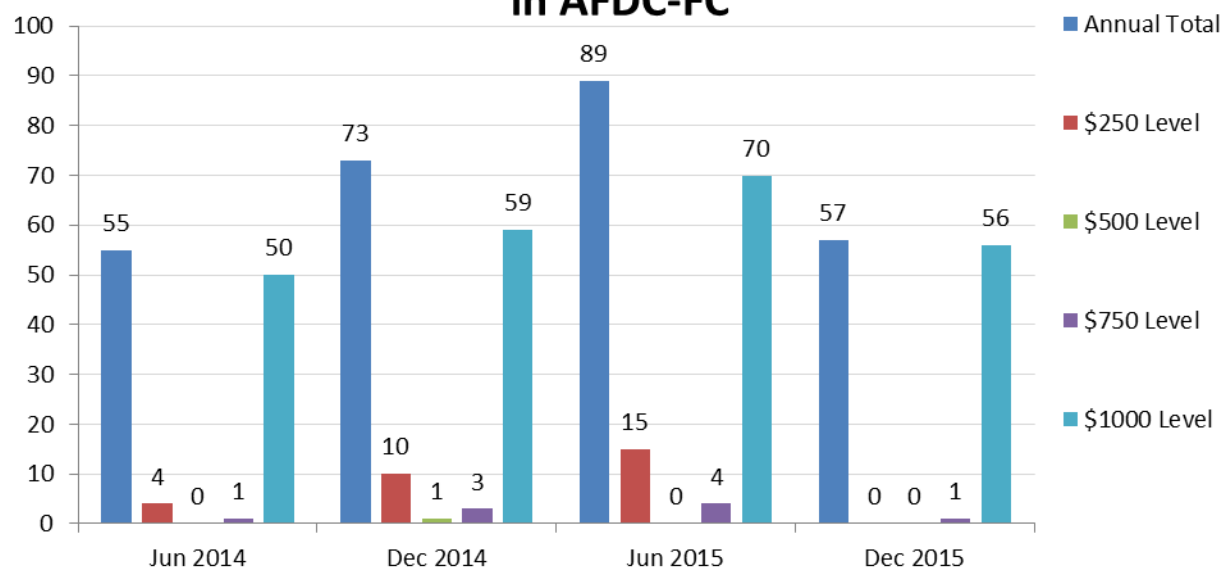
- The number and levels of the supplement to the rate pursuant to WIC section 11464(c)(2) that are requested found in Figures 12 and 13 (Pages 15 and 16).
- The number of supplements to the rate that are authorized upon caregiver request found in Figures 14 and 15 (Pages 17 and 18).
- The number of supplements to the rate that are denied after request but granted upon appeal are found in Figures 16, 17, and 18 (Pages 19 – 21).

The AFDC-FC/AAP/Kin-GAP 84 Form: "Supplement to the Rate Requests for Dual Agency AFDC-FC, AAP and Kin-GAP Children Quarterly Statistical Report" was developed by CDSS to capture the data elements identified above. The AFDC-FC/AAP/Kin-GAP 84 report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population.

The AFDC-FC/AAP/Kin-GAP 84 Form did not capture Kin-GAP data for this reporting period. Supplement to the dual agency rate information for this population is projected to be available in 2017, allowing for the collection of four data periods. Kin-GAP data collection is described in All County Letter 14-22 dated March 13, 2014.

## SUPPLEMENT TO DUAL AGENCY RATES

**Figure 12: Cumulative Number of New Requests for a Supplement to the Dual Agency Rate in AFDC-FC**

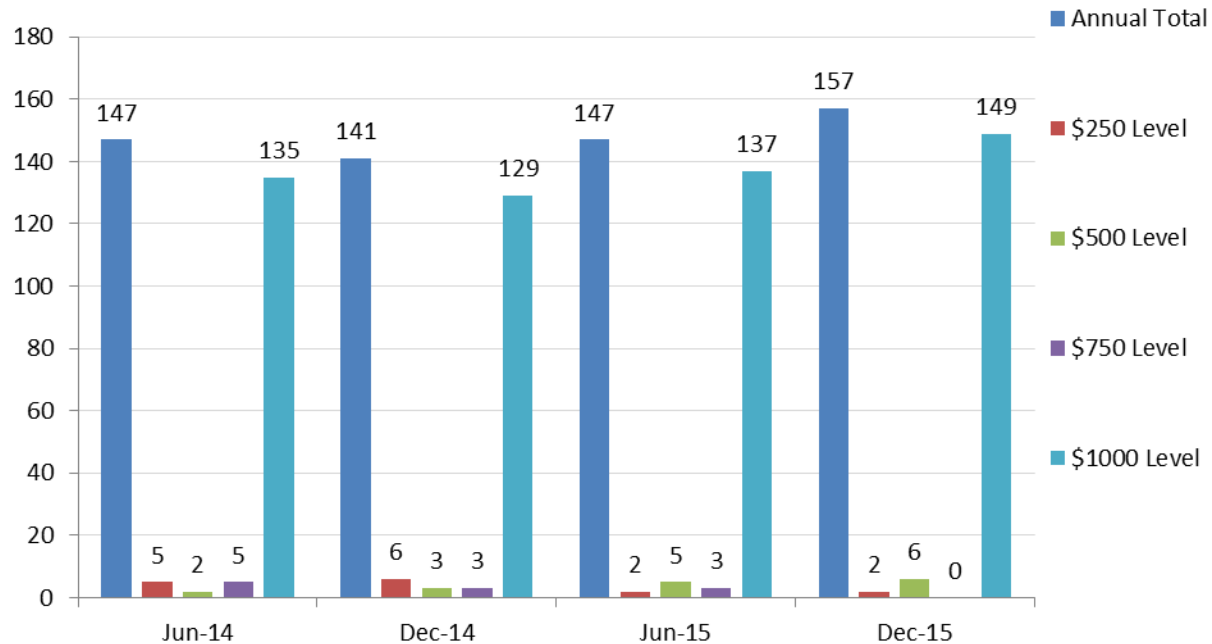


Data Sources: FC-AAP-KG 84, June 2014 – December 2015.

Figure 12 displays that there were 274 requests for a supplement from June 2014 to December 2015. Figure 12 also displays that the majority of dual agency requests are for the \$1000 level of supplement to the dual agency rate.

- In June 2014, the approval rate was 91 percent.
- In December 2014, the approval rate was 81 percent.
- In June 2015, the approval rate was 79 percent.
- In December 2015, the approval rate was 98 percent.

**Figure 13**  
**Cumulative Number of New Requests for a Supplement to the Rate in AAP**

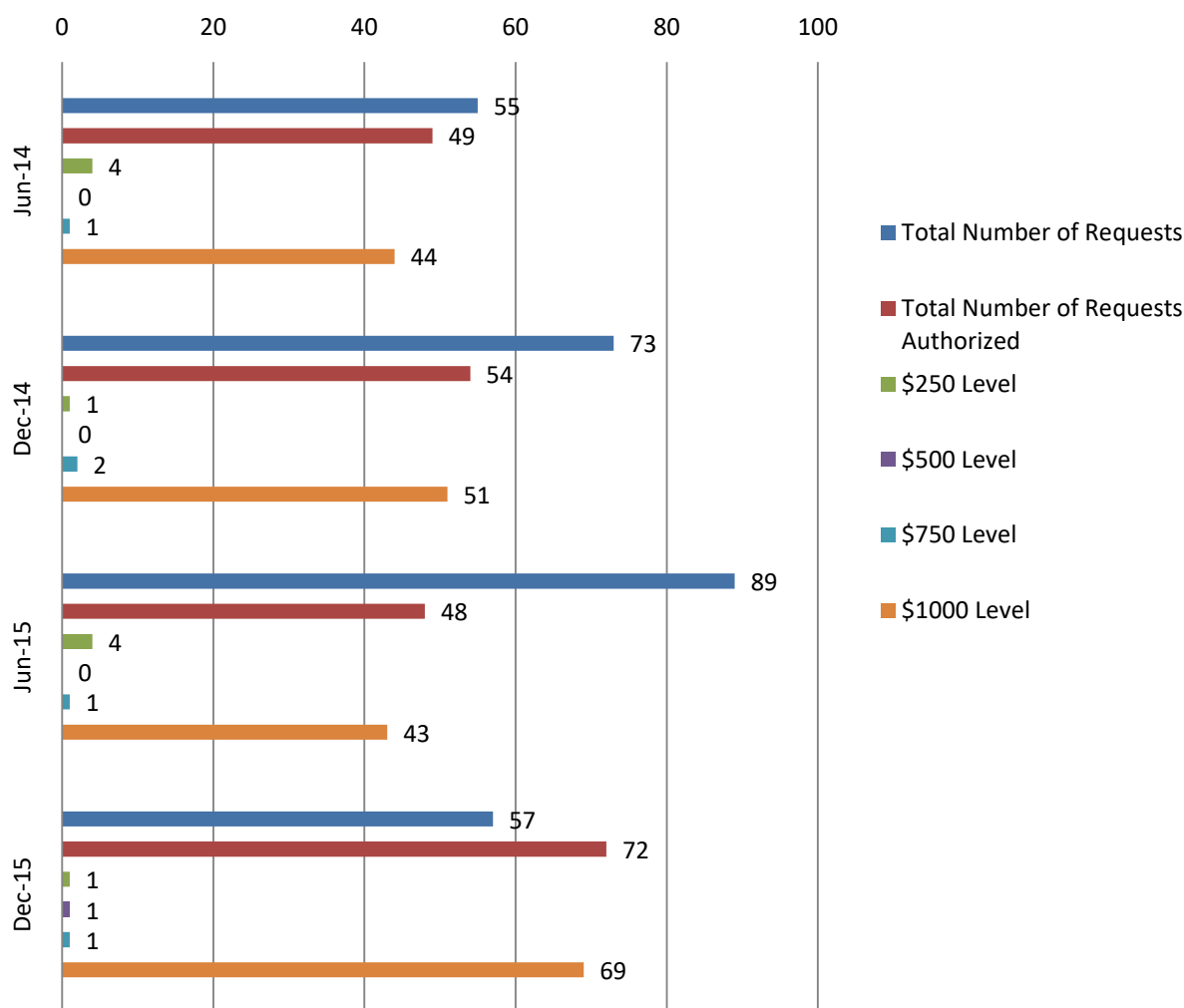


Data Sources: FC-AAP-KG 84, June 2014 – December 2015.

Figure 13 shows there were 592 requests for a supplement from June 2014 to December 2015. Figure 13 also shows that in AAP, like foster care, more requests were made for the \$1,000 level of supplement to the dual agency rate.

- In June 2014, the approval rate was 92 percent.
- In December 2014, the approval rate was 91 percent.
- In June 2015, the approval rate was 93 percent.
- In December 2015, the approval rate was 95 percent.

**Figure 14: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in AFDC-FC**

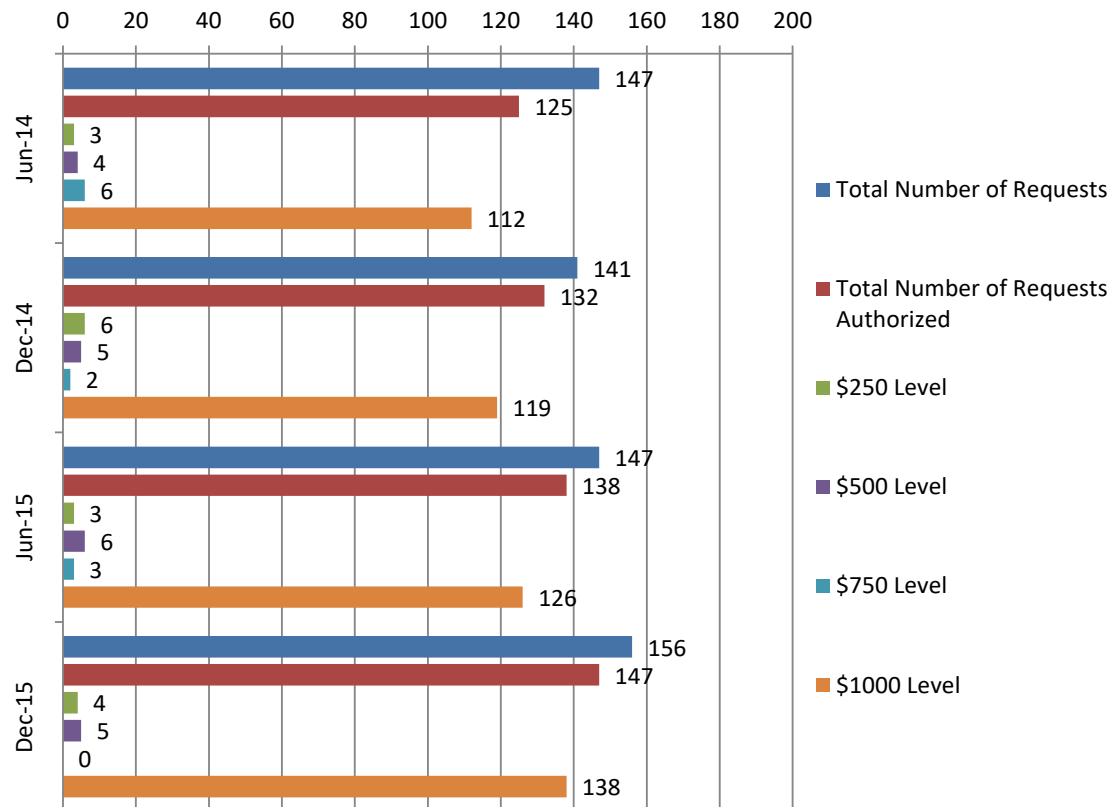


Data Sources: FC-AAP-KG 84, June 2014 – December 2015.

Figure 14 displays that the majority of the requests for a dual agency rate supplement in AFDC-FC are initially authorized by the county, and that over 93 percent of those requests initially authorized are for the \$1,000 level of supplement. Figure 14 also indicates that there may have been a lag between the date of a supplement request and the date of a decision to approve or deny that request. As previously stated in the methodology and limitations section, these reports allow for late reporting and may result in adjustments to caseloads for the reporting period.

From June 2014 to December 2015, 81 percent of the requests for a supplement to the dual agency rate were authorized for the initial request. Of those requests authorized, 4 percent were for a supplement level of \$250; 0 percent was for a supplement level of \$500; and 2 percent were for a supplement level of \$750.

**Figure 15: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in AAP**



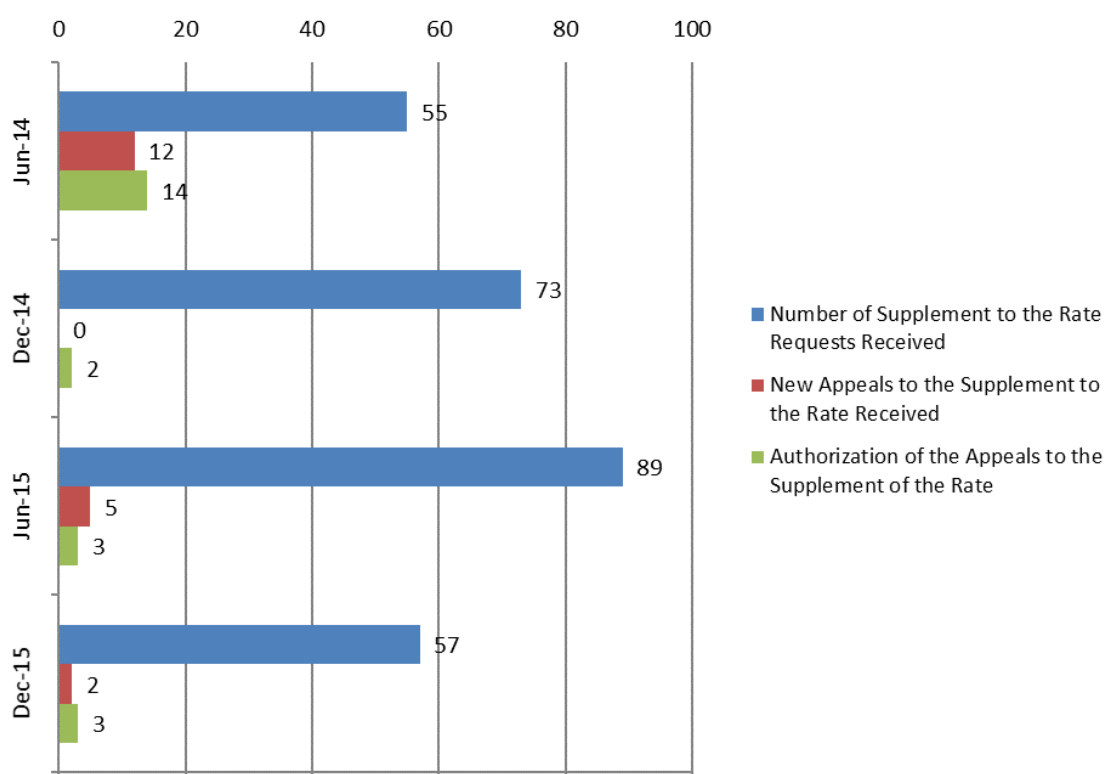
Data Sources: FC-AAP-KG 84, June 2014 – December 2015.

Figure 15 displays that the majority of the requests for a dual agency rate supplement in AAP are initially authorized by the county. While Figure 13 identifies all new requests for each level of supplement to the dual agency rate in AAP, Figure 15 identifies how many of those requests were authorized. Figure 15 indicates that over 90 percent of those requests initially authorized are for the \$1,000 level of supplement.

From June 2014 to December 2015, 92 percent of the requests for a supplement to the dual agency rate were authorized upon initial request. Of those requests authorized:

- Three percent were for a supplement level of \$250;
- Four percent were for a supplement level of \$500;
- Three percent were for a supplement level of \$750; and
- Ninety-one (91) percent were for a supplement level of \$1,000.

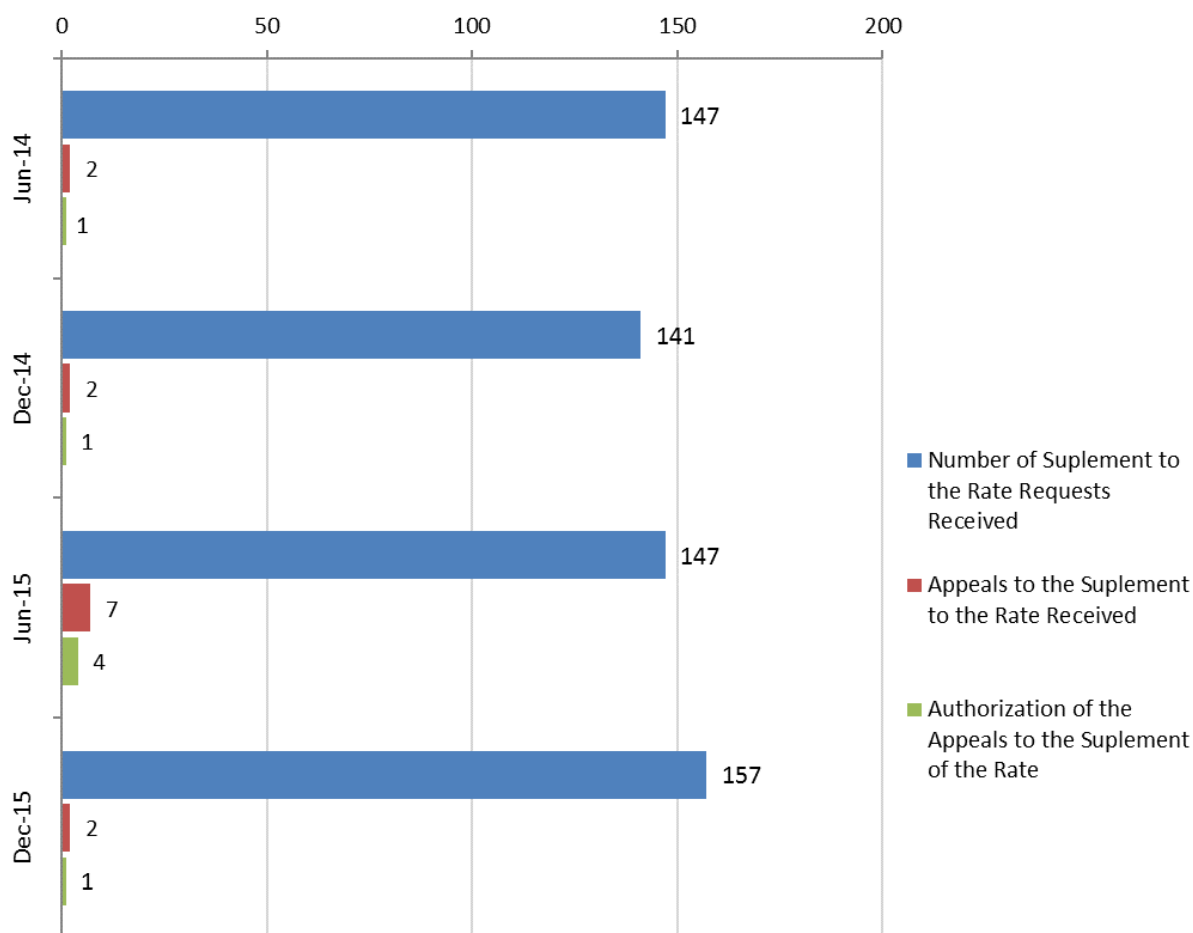
## Figures 16 through 18: Cumulative Aggregate Quarterly Activity Counts



Data Sources: FC-AAP-KG 84, June 2014 – December 2015.

Figure 16 displays data that compares the total number of requests for a supplement to the dual agency AFDC-FC rate (274), the total number of appeals for a supplement to a dual agency rate (19), and the total number of appeals authorized for a supplement to the dual agency rate (22) in the AFDC-FC Program from June 2014 to December 2015. Figure 16 also indicates that while only 7 percent of the requests were appealed, 100 percent of caregivers that appealed a request for a supplement to the dual agency rate had their appeals granted. There is a lag between the date of a rate supplement request and the date of a decision to approve or deny that request. It is unknown if the decision is made in the same quarter as the request for a supplement to the dual agency rate is received.

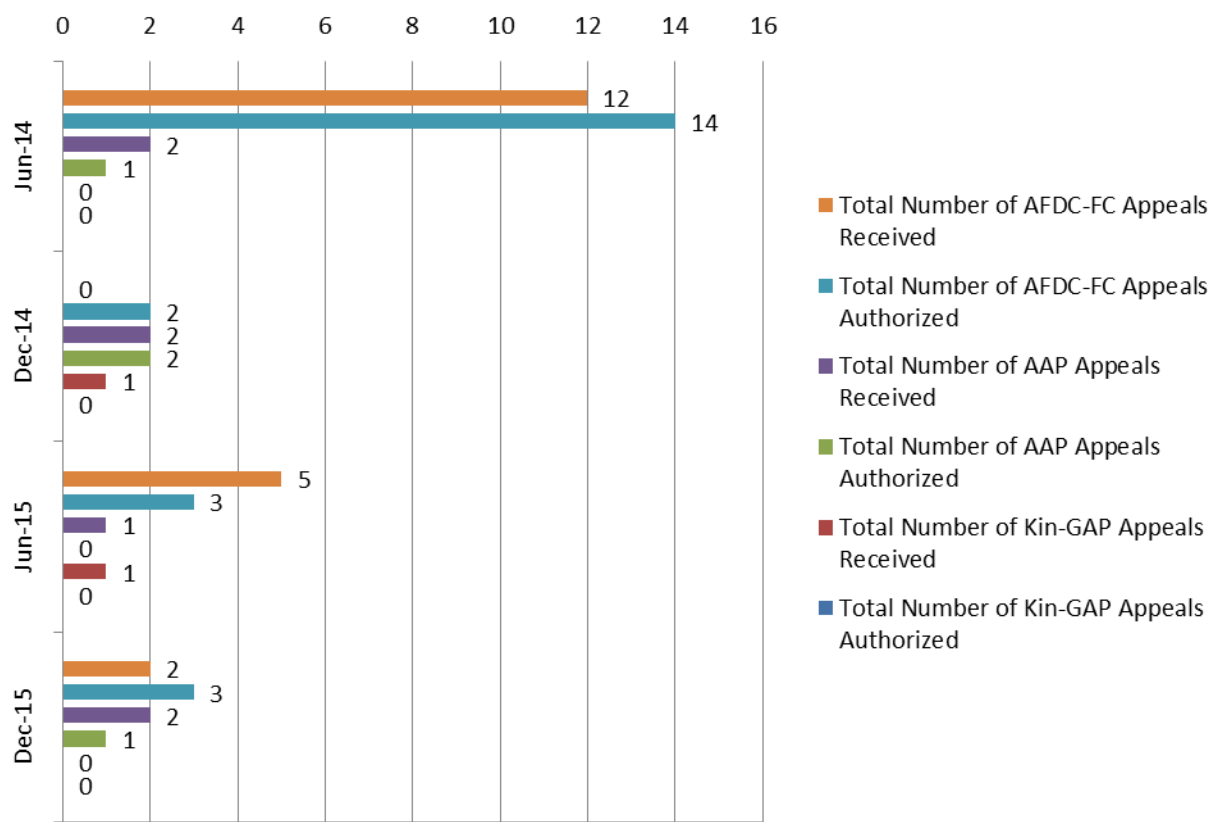
**Figure 17: Cumulative Number of Request for Supplement to the Dual Agency Rate, the Number of Appeals and the Number of Appeals Granted in AAP**



Data Sources: FC-AAP-KG 84, June 2014 – December 2015.

Figure 17 displays data that compares the number of requests for a supplement to the dual agency AAP rate (592), the number of new appeals for a supplement to a dual agency rate (seven) and the number of authorizations of the appeals to requests for a supplement to the dual agency rate (four) in the AAP Program from June 2014 to December 2015. Figure 17 indicates that while 1 percent of the requests were appealed, over 50 percent (one out of two) of caregivers that appealed a request for a supplement to the dual agency rate had their appeals granted.

**Figure 18: Cumulative Number of AFDC-FC, AAP, and Kin-GAP Appeals that are Authorized**



Data Sources: FC-AAP-KG 84, June 2014 – December 2015.

Figure 18 displays that in the majority of the AFDC-FC cases, if a caregiver appeals the denial to a supplement to a dual agency request, it is subsequently authorized upon appeal. In AAP Programs, there were four appeals authorized.

- In June 2014, 14 percent of the appeals in AFDC-FC were authorized and there was one appeal to denials reported in the AAP program.
- In June 2015, 100 percent of the appeals in AFDC-FC were authorized and there was one appeal to denials reported in the AAP program.
- In December 2015, 100 percent of the appeals in AFDC-FC were authorized and there were two appeals to denials reported in the AAP program.

In summary, from June 2014 to December 2015, 100 percent of the appeals in AFDC-FC were authorized and 50 percent of the appeals in AAP were authorized. Figure 18 also indicates that there may have been a lag between the date of a rate supplemental request and the date of a decision to approve or deny that request. As previously stated in the methodology and limitations section these reports allow for late reporting and may result in adjustments to caseloads for the reporting period.



## DUAL AGENCY SERVICES

Data guidance: Consideration of data in the DDS tables should include the following:

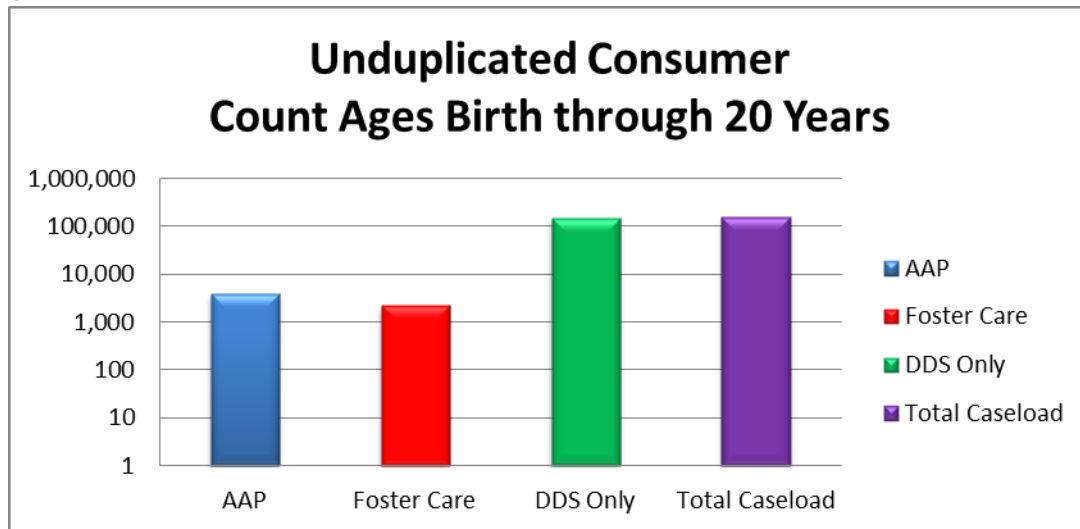
- Total and average (mean) per consumer costs reflected in this report cover a six month reporting period, from July to December, 2015.
- POS percentages and consumer counts in this report showed little variance when compared with data from the previous report.
- Where the target population is small, extraordinary POS by one or relatively few consumers might skew the average purchase of service total which may result in a larger cost per consumer.
- Service needs differ by consumer and family. Differences in the individual service total expenditures and average consumer expenditures may skew the cost per consumer.
- The majority of consumers have not been diagnosed before age three; therefore, no diagnostic data are included. (DDS Autism Report 2003)
- Caseload information provided within this report represents only those individuals receiving services beyond case management with a POS cost.

It should be noted that some children in AAP or AFDC-FC recipients received residential services, adult day program services, and supported living services purchased by the regional centers. This is due to two key factors:

- Consumers may be served by AAP or AFDC-FC through age of 21 if certain eligibility criteria are met. The consumer may benefit from residential and/or adult day program services as part of their transition into adulthood.
- Both foster and adoptive families are permitted to temporarily place consumers into residential care with the expectation of an eventual return to the family home.

# Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 1



DDS Figure 2

DATA	AAP	Foster Care	DDS Only	Total Caseload
Unduplicated Total Ages Birth to Two Years	509	1,164	32,689	34,362
Unduplicated Total Ages three to 20 Years	3,483	1,132	115,671	120,286
Unduplicated Total Ages Birth to 20 Years	3,992	2,296	148,360	154,648

DDS Figure 3

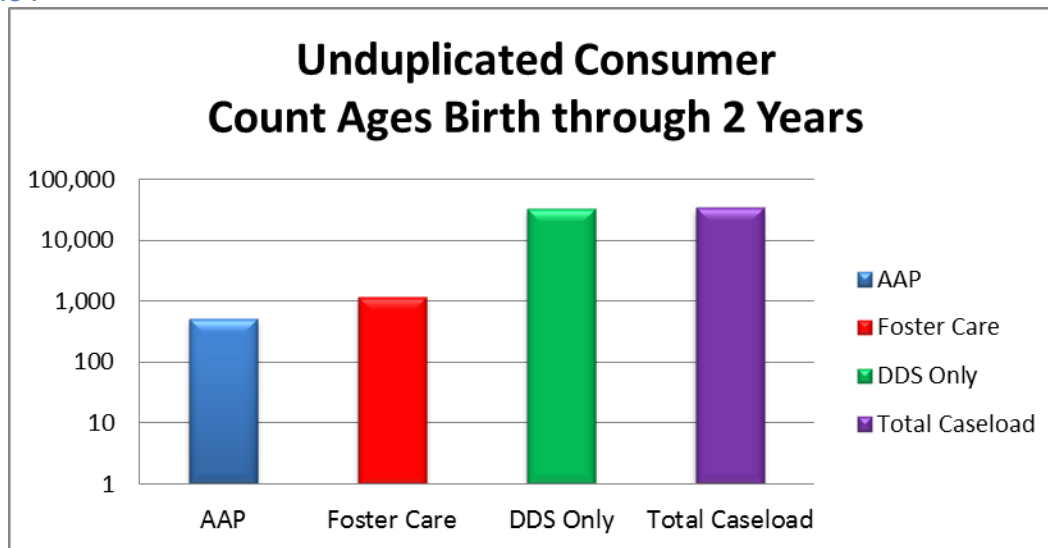
POS		1-AAP		2-Foster Care		3-DDS Only		Grand Total
Birth through 20 Years	POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
POS	No	1,601	40%	688	30%	50,570	34%	52,859
	Yes	2,391	60%	1,608	70%	97,790	66%	101,789
Unduplicated Total		3,992	100%	2,296	100%	148,360	100%	154,648
Percentage of Caseload		2.6%		1.5%		95.9%		100.0%

DDS Figures 1 and 2 show consumers, ages birth through 20 years, receiving services beyond case management. Consumers are categorized by AAP, Foster Care (FC), and DDS Only. The total of AAP, FC and DDS Only population is 154,648. Of this number, 101,789 receive POS.

DDS Figure 3 shows the percent of consumers receiving services purchased through DDS. As the data indicates, the DDS Only caseload is far greater than the dual agency caseloads. Data on the following pages includes not only totals for each caseload but also expenditures per person for each caseload.

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 4



DDS Figure 5

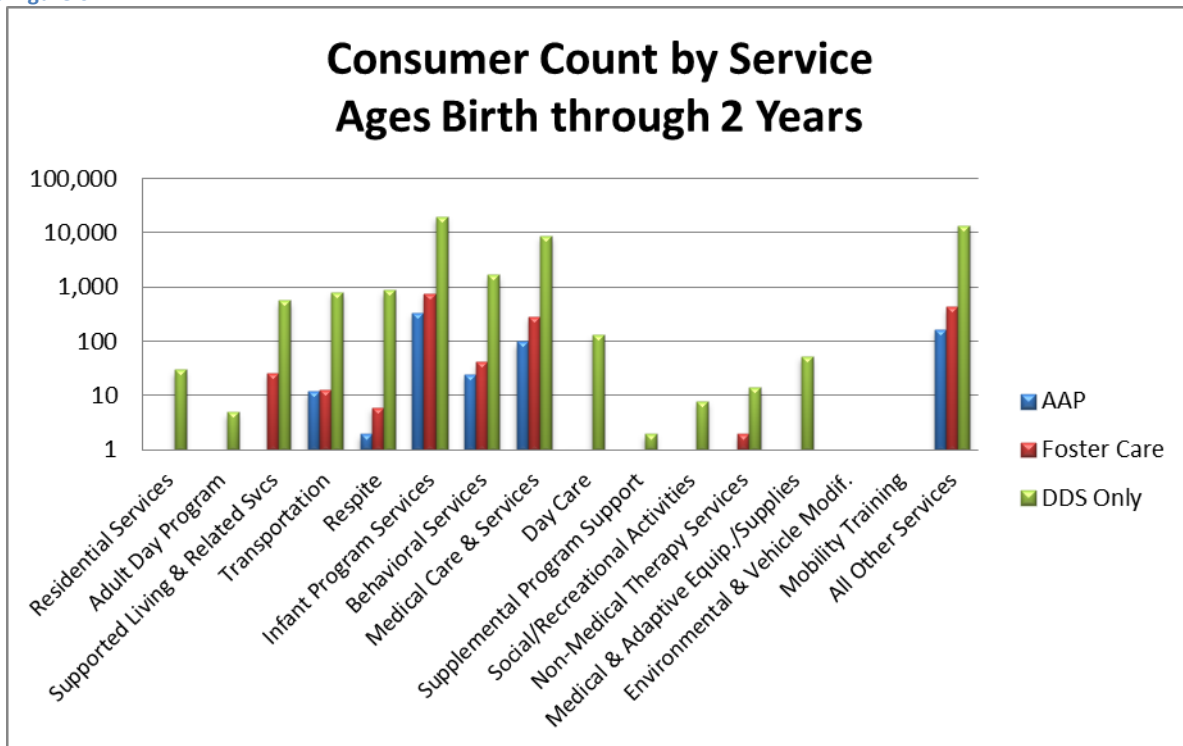
POS		1-AAP		2-Foster Care		3-DDS Only		Grand Total
Birth through Two Years	POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
POS	No	46	9%	81	7%	2,808	9%	2,935
	Yes	463	91%	1,083	93%	29,881	91%	31,427
Unduplicated Total		509		1,164		32,689		34,362
Percentage of Caseload		1.5%		3.4%		95.1%		

DDS Figure 4 shows the unduplicated count of consumers receiving services beyond case management, age's birth through two years, by caseload (AAP, FC and DDS Only). The total AAP, FC, and DDS Only population for consumers, ages birth through two years, is 34,362; of these consumers, 31,427 receive POS.

DDS Figure 5 shows the percent of consumers receiving services purchased through DDS.

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 6



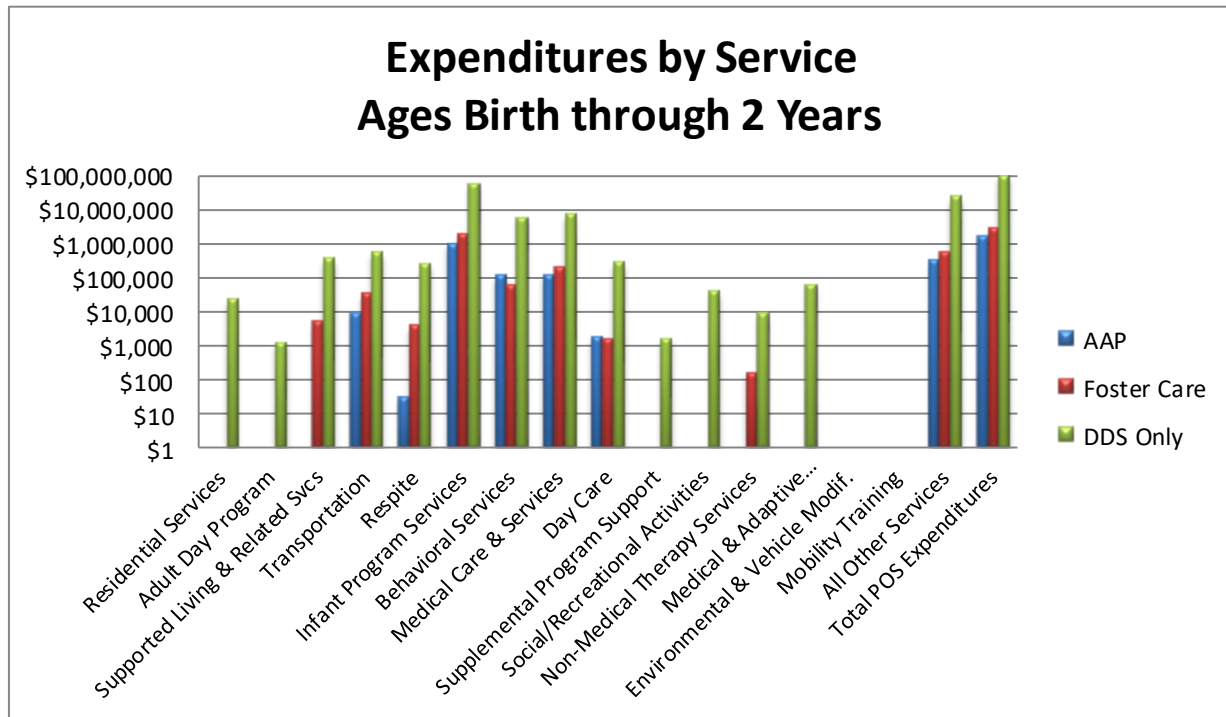
DDS Figure 7

DATA	AAP	Foster Care	DDS Only	Grand Total
Residential Services	0	0	30	30
Adult Day Program	0	0	5	5
Supported Living & Related Services	0	26	569	595
Transportation	12	13	809	834
Respite	2	6	872	880
Infant Program Services	333	753	19,765	20,851
Behavioral Services	25	42	1,691	1,758
Medical Care & Services	98	288	8,667	9,053
Day Care	1	1	130	132
Supplemental Program Support	0	0	2	2
Social/Recreational Activities	0	0	8	8
Non-Medical Therapy Services	0	2	14	16
Medical & Adaptive Equip./Supplies	0	0	53	53
Environmental & Vehicle Modifications	0	0	0	0
Mobility Training	0	0	0	0
All Other Services	165	434	13,661	14,260
Unduplicated Total Receiving POS	463	1,083	29,881	31,427

DDS Figures 6 and 7 display the consumer count for services received by consumers, ages birth through two years, by caseload (AAP, FC, and DDS Only).

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 8



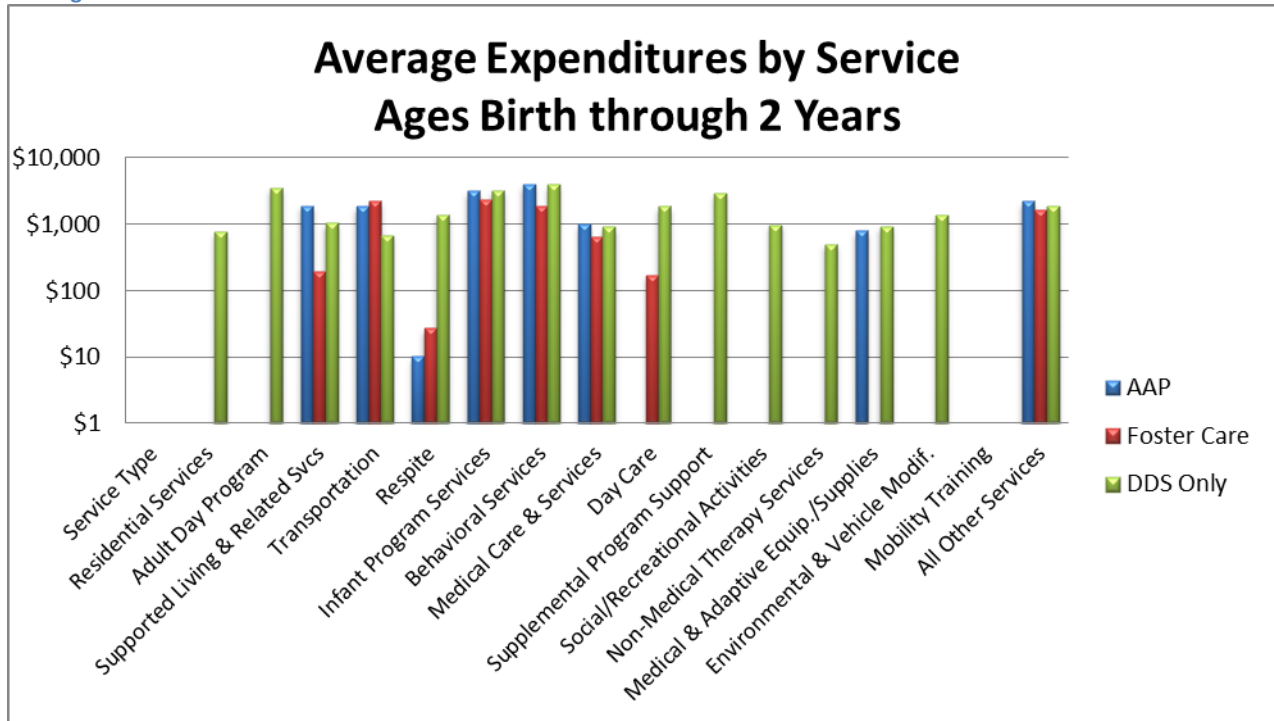
DDS Figure 9

Service Type	AAP	Foster Care	DDS Only	Grand Total
Residential Services	\$0	\$0	\$22,510	\$22,510
Adult Day Program	\$0	\$0	\$1,243	\$1,243
Supported Living & Related Services	\$0	\$4,986	\$369,805	\$374,791
Transportation	\$9,409	\$32,806	\$557,951	\$600,166
Respite	\$30	\$3,965	\$272,933	\$276,928
Infant Program Services	\$1,057,490	\$1,904,122	\$59,672,200	\$62,633,812
Behavioral Services	\$108,489	\$57,459	\$5,976,999	\$6,142,947
Medical Care & Services	\$119,773	\$189,887	\$7,564,664	\$7,874,324
Day Care	\$1,812	\$1,639	\$297,887	\$301,338
Supplemental Program Support	\$0	\$0	\$1,590	\$1,590
Social/Recreational Activities	\$0	\$0	\$38,472	\$38,472
Non-Medical Therapy Services	\$0	\$160	\$8,364	\$8,524
Medical & Adaptive Equip./Supplies	\$0	\$0	\$59,995	\$59,995
Environmental & Vehicle Modifications	\$0	\$0	\$0	\$0
Mobility Training	\$0	\$0	\$0	\$0
All Other Services	\$337,734	\$605,326	\$24,766,883	\$25,709,943
Total POS Expenditures	\$1,634,737	\$2,800,350	\$99,611,496	\$104,046,581

# Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figures 8 and 9 show the total expenditures for services received by consumers, ages birth through two years, by caseload (AAP, FC, and DDS Only).

DDS Figure 10



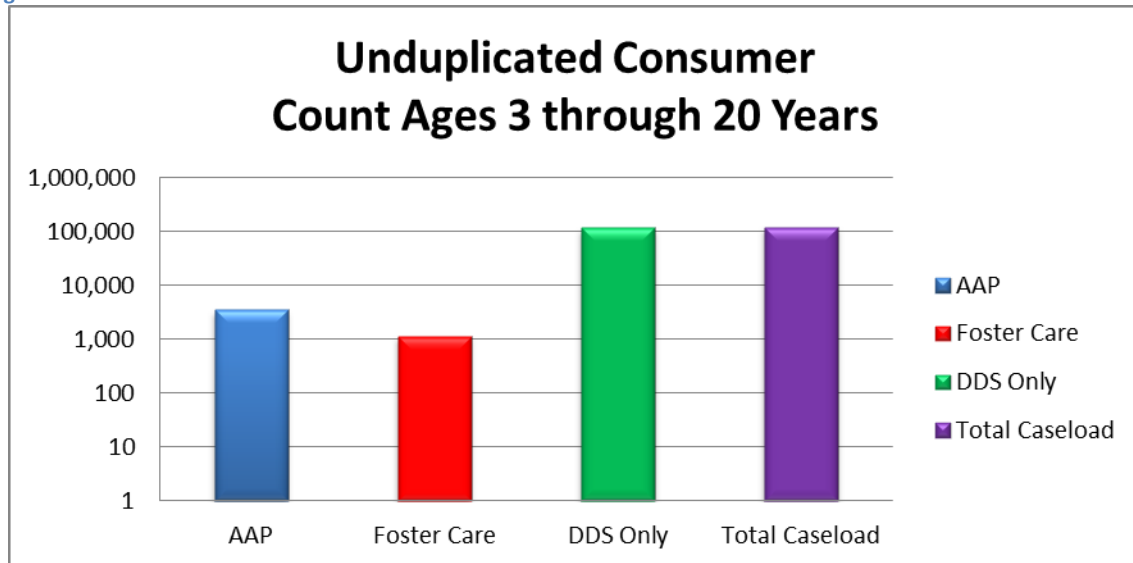
DDS Figure 11

Service Type	AAP	Foster Care	DDS Only	Totals
Residential Services	\$0	\$0	\$750	
Adult Day Program	\$0	\$0	\$249	
Supported Living & Related Services	\$0	\$192	\$650	
Transportation	\$784	\$2,524	\$690	
Respite	\$15	\$661	\$313	
Infant Program Services	\$3,176	\$2,529	\$3,019	
Behavioral Services	\$4,340	\$1,368	\$3,535	
Medical Care & Services	\$1,222	\$659	\$873	
Day Care	\$1,812	\$1,639	\$2,291	
Supplemental Program Support	\$0	\$0	\$795	
Social/Recreational Activities	\$0	\$0	\$4,809	
Non-Medical Therapy Services	\$0	\$80	\$597	
Medical & Adaptive Equip./Supplies	\$0	\$0	\$1,132	
Environmental & Vehicle Modifications	\$0	\$0	\$0	
Mobility Training	\$0	\$0	\$0	
All Other Services	\$2,047	\$1,395	\$1,813	
Total POS Expenditures	\$1,634,737	\$2,800,349	\$99,611,496	\$104,046,582
Unduplicated Total Receiving POS	463	1,083	29,881	31,427
Average Per Consumer Receiving POS	\$3,531	\$2,586	\$3,334	\$3,311

DDS Figures 10 and 11 show the average expenditures by service for consumers, ages birth through two years, by caseload (AAP, FC, and DDS Only). It should be noted that high-per-child averages for some service/caseload combinations reflect service costs for a small number of consumers.

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 12



DDS Figure 12 shows the unduplicated count of consumers receiving services beyond case management, ages three through 20 years, by caseload (AAP, FC and DDS Only). The total AAP, FC and DDS Only population for consumers, ages three through 20 years, is 120,286; of these consumers, 70,362 receive POS.

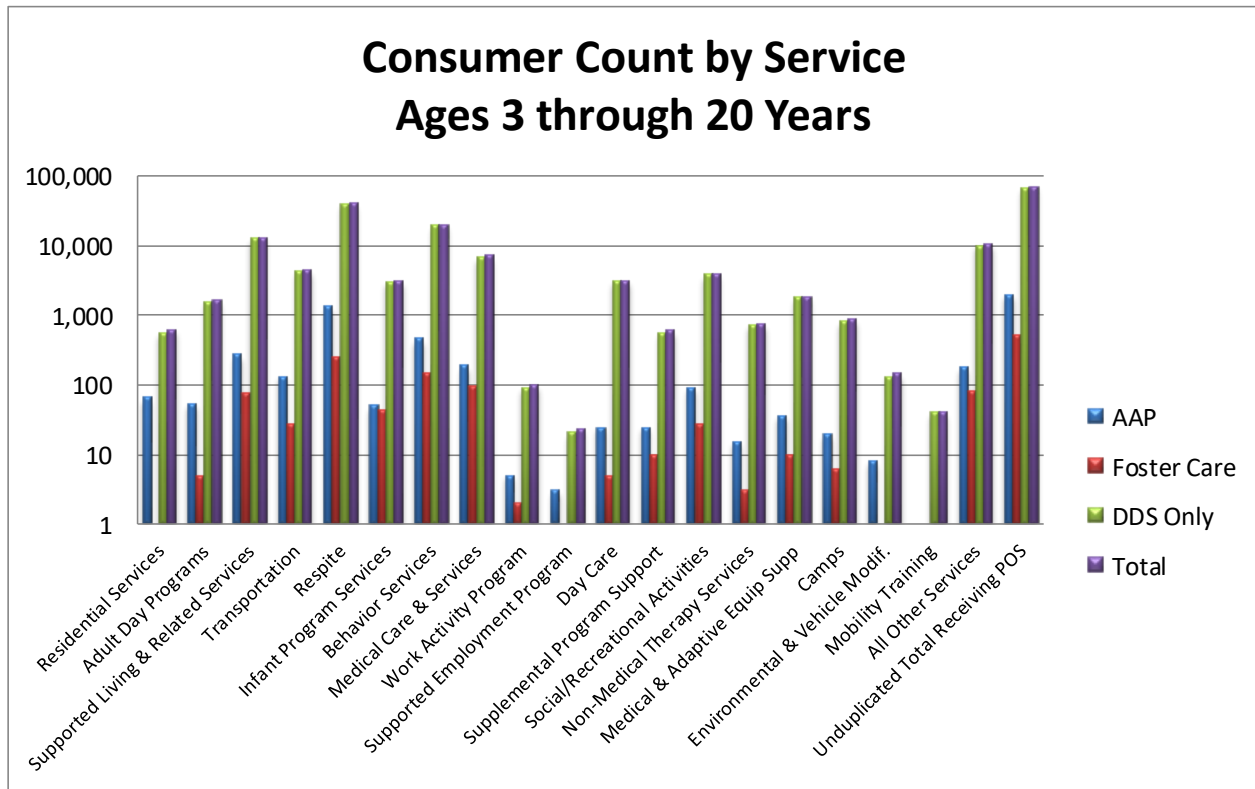
DDS Figure 13

POS		1-AAP		2-Foster Care		3-DDS Only		Grand Total
Ages three through 20 Years	POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
POS	No	1,555	45%	607	54%	47,762	41%	49,924
	Yes	1,928	55%	525	46%	67,909	59%	70,362
Unduplicated Total		3,483		1,132		115,671		120,286
Percentage of Caseload		2.90%		0.94%		96.16%		100.00 %

DDS Figure 13 shows the percent of consumers receiving services purchased through DDS.

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 14



DDS Figure 15

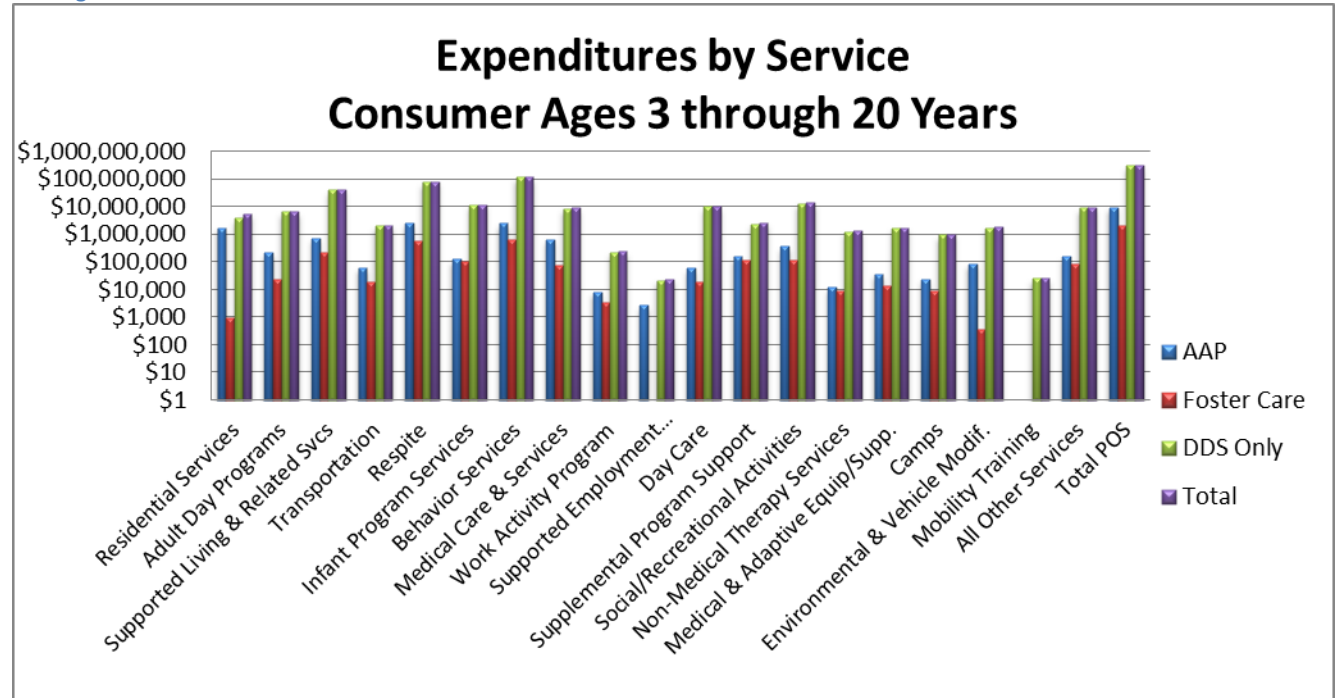
Service Type	AAP	Foster Care	DDS Only	Total
Residential Services	64	1	550	615
Adult Day Programs	54	5	1,567	1,626
Supported Living & Related Services	271	78	12,806	13,155
Transportation	132	26	4,326	4,484
Respite	1,303	240	39,711	41,254
Infant Program Services	50	43	2,989	3,082
Behavior Services	456	145	19,340	19,941
Medical Care & Services	187	96	6,788	7,071
Work Activity Program	5	2	92	99
Supported Employment Program	3	0	20	23
Day Care	24	5	3,115	3,144
Supplemental Program Support	24	10	553	587
Social/Recreational Activities	91	26	3,803	3,920
Non-Medical Therapy Services	15	3	721	739
Medical & Adaptive Equip Support	35	10	1,787	1,832
Camps	19	6	827	852
Environmental & Vehicle Modifications	8	1	133	142
Mobility Training	0	0	40	40
All Other Services	176	82	10,097	10,355
Unduplicated Total Receiving POS	1,928	525	67,909	70,362



# Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figures 14 and 15 show consumer count for services received by consumers, ages three through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 16



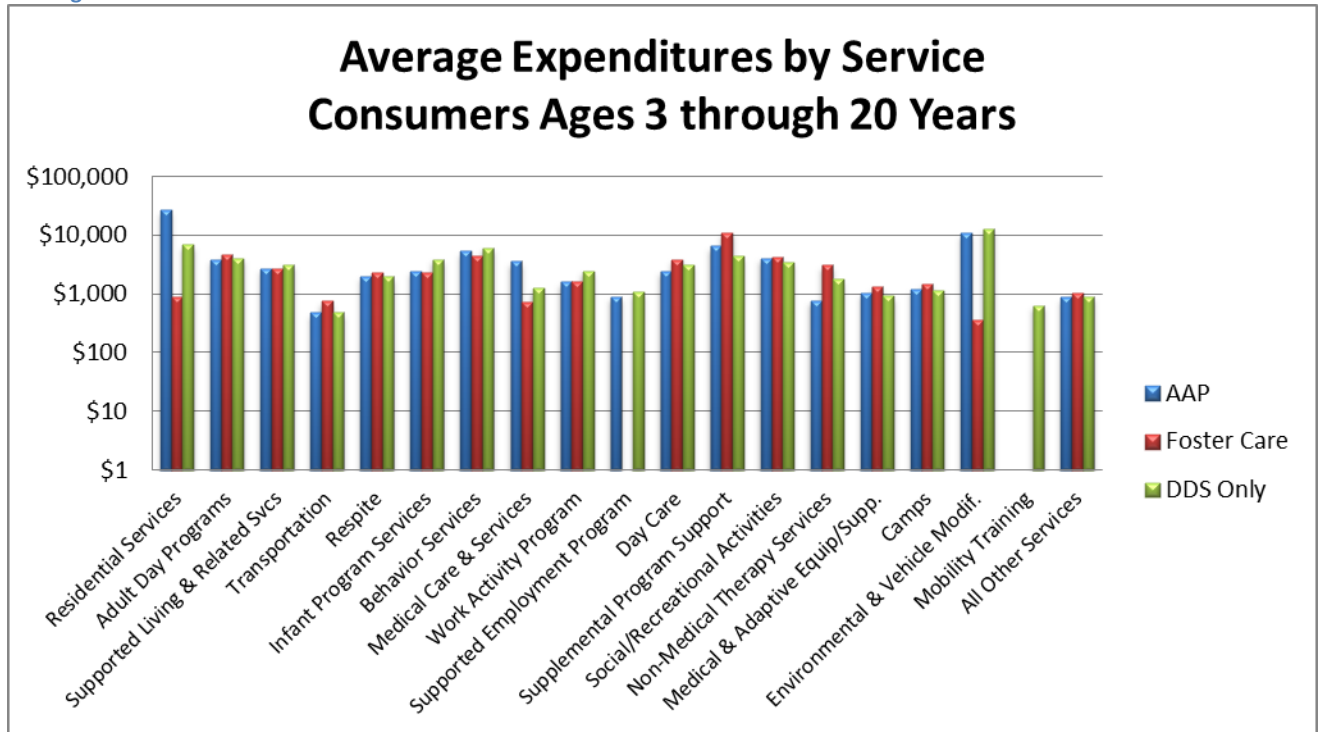
DDS Figure 17

Service Type	AAP	Foster Care	DDS Only	Total
Residential Services	\$1,697,280	\$908	\$3,815,362	\$5,513,550
Adult Day Programs	\$207,017	\$23,145	\$6,422,465	\$6,652,626
Supported Living & Related Services	\$743,386	\$207,416	\$40,151,525	\$41,102,327
Transportation	\$63,077	\$19,592	\$2,051,901	\$2,134,571
Respite	\$2,616,183	\$541,570	\$77,212,008	\$80,369,761
Infant Program Services	\$123,124	\$99,445	\$11,593,908	\$11,816,477
Behavior Services	\$2,455,963	\$645,755	\$116,154,689	\$119,256,408
Medical Care & Services	\$663,752	\$71,014	\$8,462,276	\$9,197,042
Work Activity Program	\$8,006	\$3,222	\$226,602	\$237,829
Supported Employment Program	\$2,712	\$0	\$21,155	\$23,867
Day Care	\$59,366	\$19,425	\$9,888,258	\$9,967,049
Supplemental Program Support	\$157,094	\$111,123	\$2,390,607	\$2,658,825
Social/Recreational Activities	\$371,269	\$110,560	\$13,198,165	\$13,679,994
Non-Medical Therapy Services	\$11,571	\$9,149	\$1,269,270	\$1,289,990
Medical & Adaptive Equip/Supp.	\$36,611	\$12,966	\$1,665,807	\$1,715,384
Camps	\$23,312	\$8,981	\$949,859	\$982,151
Environmental & Vehicle Modification	\$86,568	\$354	\$1,667,708	\$1,754,629
Mobility Training	\$0	\$0	\$25,559	\$25,559
All Other Services	\$153,873	\$85,949	\$9,013,052	\$9,252,874
Total POS	\$9,480,165	\$1,970,573	\$306,180,175	\$317,630,913

# Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figures 16 and 17 show total expenditures for services received by consumers, ages three through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 18



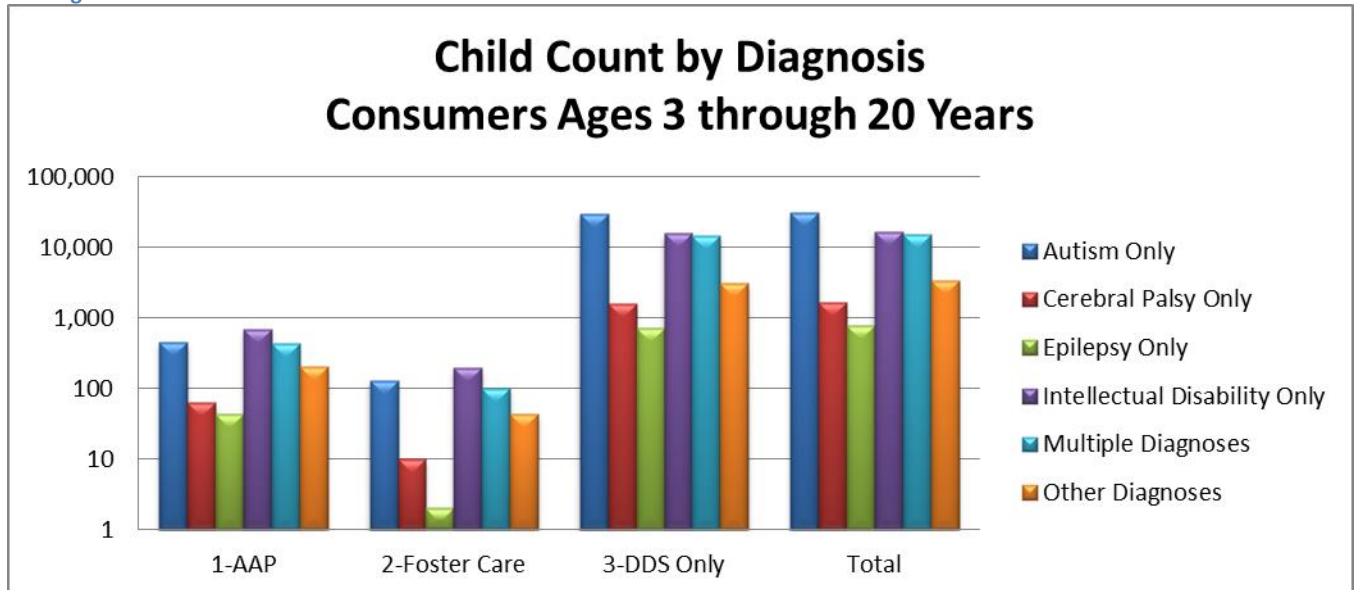
DDS Figure 19

Service Type	AAP	Foster Care	DDS Only
Residential Services	\$26,520	\$908	\$6,937
Adult Day Programs	\$3,834	\$4,629	\$4,099
Supported Living & Related Services	\$2,743	\$2,659	\$3,135
Transportation	\$478	\$754	\$474
Respite	\$2,008	\$2,257	\$1,944
Infant Program Services	\$2,462	\$2,313	\$3,879
Behavior Services	\$5,386	\$4,453	\$6,006
Medical Care & Services	\$3,549	\$740	\$1,247
Work Activity Program	\$1,601	\$1,611	\$2,463
Supported Employment Program	\$904	\$0	\$1,058
Day Care	\$2,474	\$3,885	\$3,174
Supplemental Program Support	\$6,546	\$11,112	\$4,323
Social/Recreational Activities	\$4,080	\$4,252	\$3,470
Non-Medical Therapy Services	\$771	\$3,050	\$1,760
Medical & Adaptive Equip/Supp.	\$1,046	\$1,297	\$932
Camps	\$1,227	\$1,497	\$1,149
Environmental & Vehicle Modification	\$10,821	\$354	\$12,539
Mobility Training	\$0	\$0	\$639
All Other Services	\$874	\$1,048	\$893
Average POS	\$4,917	\$3,753	\$4,509

# Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 18 and 19 graph the average expenditures by service for consumers, ages three through 20 years, by caseload (AAP, FC and DDS Only).

DDS Figure 20



DDS Figure 21

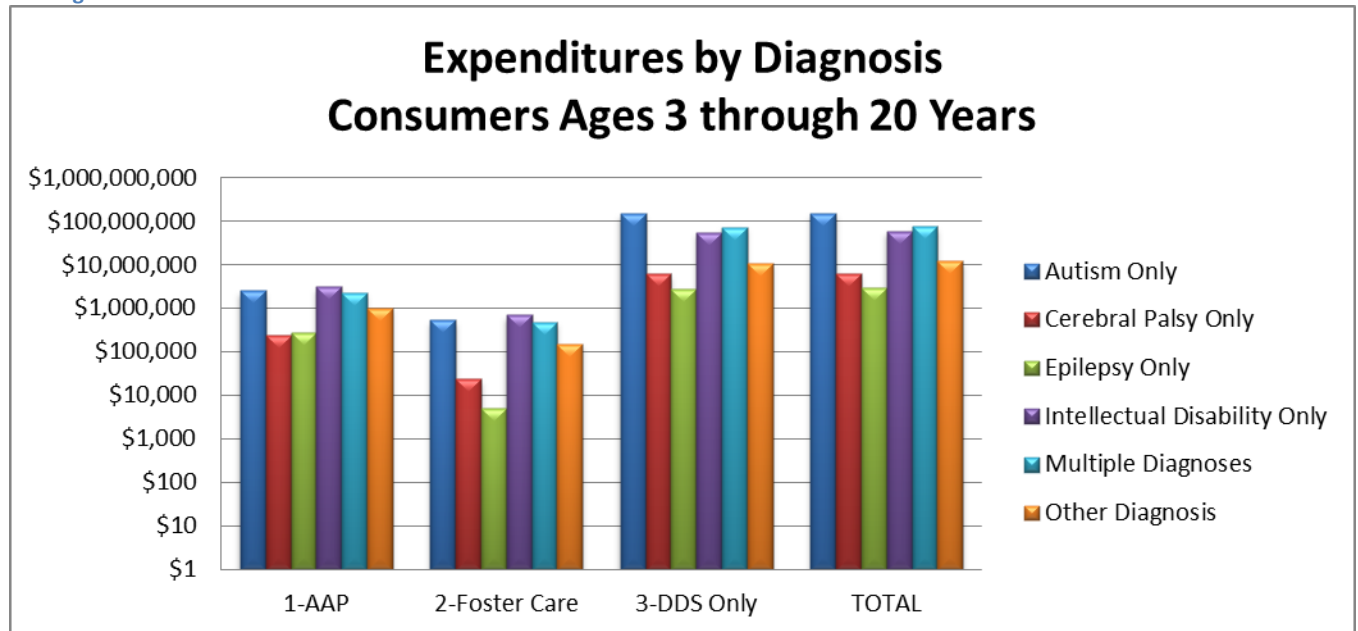
Diagnosis	1-AAP	2-Foster Care	3-DDS Only	Total
Autism Only	447	131	29,828	30,406
Cerebral Palsy Only	62	10	1,609	1,681
Epilepsy Only	43	2	721	766
Intellectual Disability Only	697	195	15,809	16,701
Multiple Diagnoses	424	101	14,807	15,332
Other Diagnoses	203	44	3,069	3,316
Unduplicated Total Receiving Diagnosed POS	1,876	483	65,843	68,202

DDS Figures 20 and 21 show the unduplicated count of consumers receiving services beyond case management, ages three to 20 years, by caseload (AAP, FC, and DDS Only), and by diagnosis. The diagnoses include *Autism*, *Cerebral Palsy*, *Epilepsy*, *Intellectual Disability*, *Multiple Diagnoses*, and *Other Diagnoses*.

Not all consumers receiving services beyond case management receive a diagnosis. Therefore, totals reported by diagnosis will not equal overall totals reported.

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 22



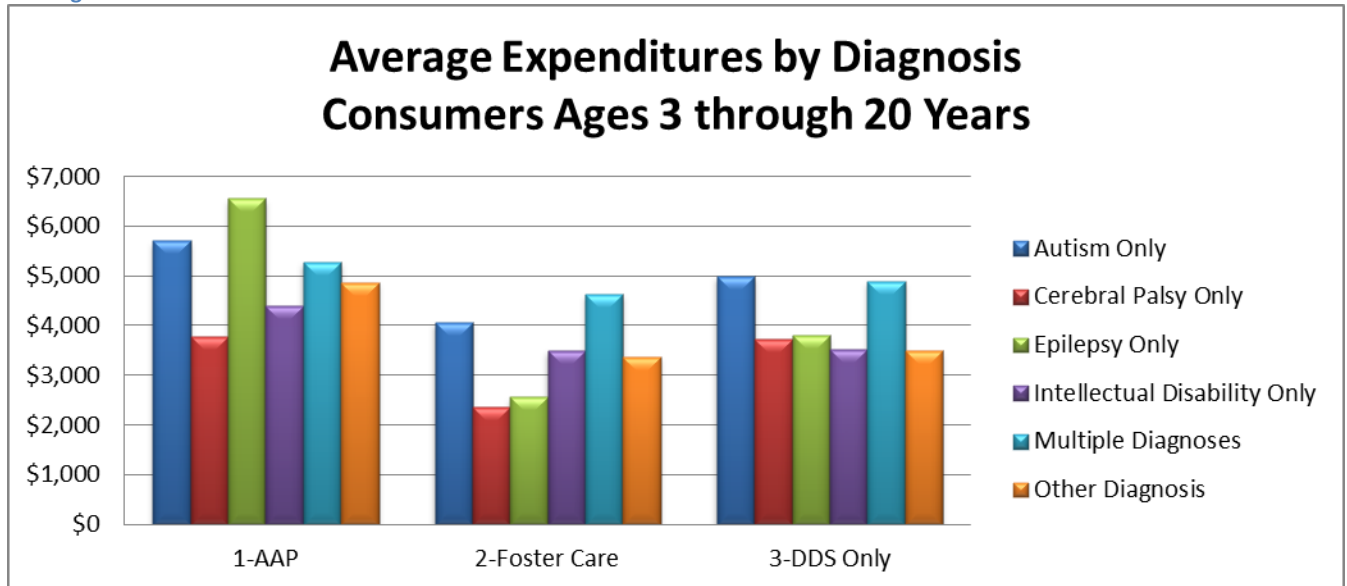
DDS Figure 23

Diagnosis	1-AAP	2-Foster Care	3-DDS Only	TOTAL
Autism Only	\$2,547,617	\$532,458	\$148,918,065	\$151,998,139
Cerebral Palsy Only	\$234,152	\$23,480	\$5,998,617	\$6,256,249
Epilepsy Only	\$281,549	\$5,129	\$2,733,284	\$3,019,962
Intellectual Disability Only	\$3,060,220	\$682,511	\$55,728,513	\$59,471,244
Multiple Diagnoses	\$2,238,016	\$468,510	\$72,457,102	\$75,163,628
Other Diagnosis	\$987,905	\$148,281	\$10,712,551	\$11,848,738
Total Expenditures	\$9,349,458	\$1,860,368	\$296,548,132	\$307,757,959

DDS Figures 22 and 23 show the total expenditures for consumers, ages three through 20 years, by caseload (AAP, FC, and DDS Only) and by diagnosis.

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DDS Figure 24



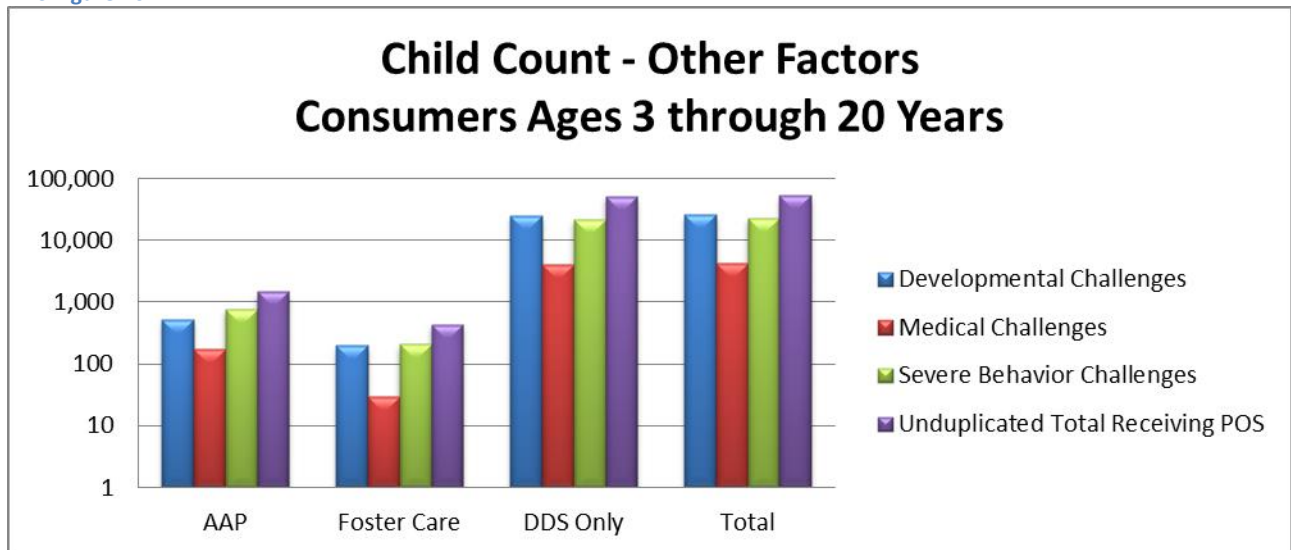
DDS Figure 25

Diagnosis	1-AAP	2-Foster Care	3-DDS Only
Autism Only	\$5,699	\$4,065	\$4,993
Cerebral Palsy Only	\$3,777	\$2,348	\$3,728
Epilepsy Only	\$6,548	\$2,564	\$3,791
Intellectual Disability Only	\$4,391	\$3,500	\$3,525
Multiple Diagnoses	\$5,278	\$4,639	\$4,893
Other Diagnosis	\$4,867	\$3,370	\$3,491
Unduplicated Total Receiving POS	\$4,984	\$3,852	\$4,504

DDS Figures 24 and 25 represent the average expenditures for consumers, ages three through 20 years, by caseload (AAP, FC and DDS Only) and diagnosis.

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 26



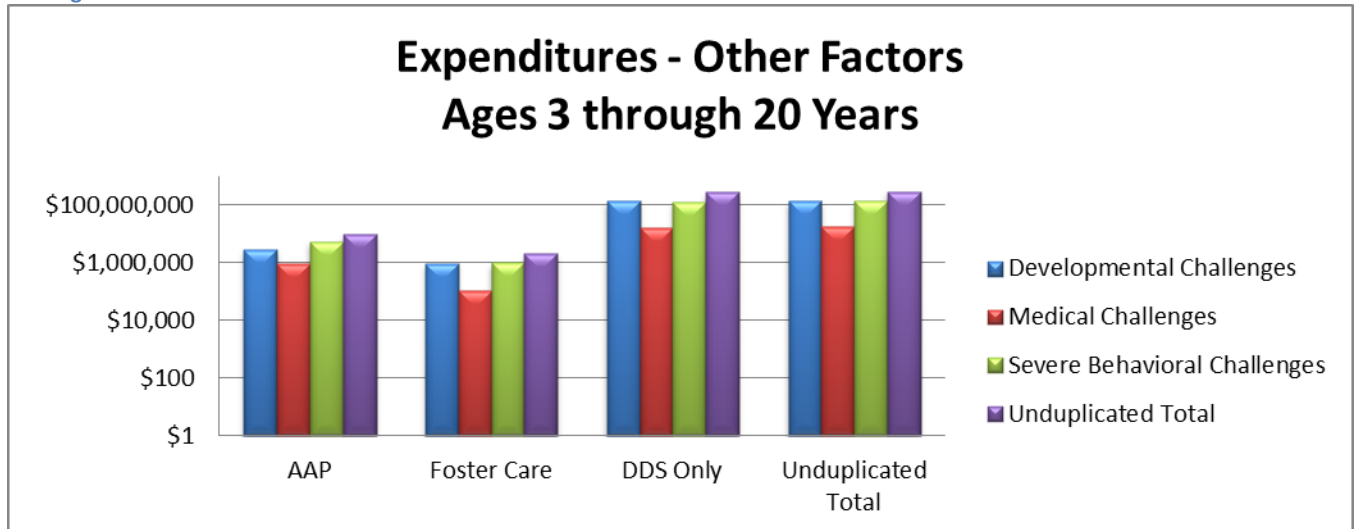
DDS Figure 27

Other Factors	AAP	Foster Care	DDS Only	Total
Developmental Challenges	531	198	25,329	26,058
Medical Challenges	177	29	4,100	4,306
Severe Behavior Challenges	779	207	22,032	23,018
Unduplicated Total Receiving POS	1,487	434	51,461	53,382

DDS Figures 26 and 27 display the unduplicated count for consumers, ages three to 20 years, by caseload with assessed challenges not directly related to their diagnosis. These challenges are referred to as “Other Factors.” Not all consumers are assessed with “Other Factors”. Therefore, counts reported under “Other Factors” will not equal total child count.

Report to the Legislature on Children Receiving AFDC-FC, Kin-GAP or AAP and Concurrently Receiving Services from a California Regional Center

DDS Figure 28



DDS Figure 29

Other Factors	AAP	Foster Care	DDS Only	Unduplicated Total
Developmental Challenges	\$2,956,845	\$966,545	\$135,080,685	\$139,004,075
Medical Challenges	\$958,960	\$107,399	\$16,908,164	\$17,974,523
Severe Behavioral Challenges	\$5,565,108	\$992,018	\$128,469,379	\$135,026,506
Unduplicated Total	\$9,480,914	\$2,065,961	\$280,458,228	\$292,005,103

DDS Figures 28 and 29 show the total expenditures for consumers, ages three through 20 years, by caseload and by “Other Factors”.

## REPORT SUMMARY

The following conclusions can be drawn from the January 2014 to December 2015 data:

- The number of children receiving AFDC-FC decreased and the number of children receiving AFDC-FC and placed in family home had decreased slightly under 2 percent in both categories in Figure 1.
- The percent of dual agency children placed in family homes increased by 20 percent as presented in Figure 1.
- The number of children receiving AAP Caseload remained constant during this period, as presented in Figure 3.

The data collection tool, "Supplement to the Rate Requests for Dual Agency AFDC-FC and AAP", provided the mechanism for collecting data for actions taken by counties related to requests for a supplement to the dual agency rate. The actions taken were similar in the AFDC-FC and AAP dual agency caseloads. Since implementation, the data indicates that the majority of the requests for a supplement to a dual agency rate are authorized upon initial request, 87 percent in AFDC-FC and 93 percent in AAP. The \$1,000 level of supplement to the dual agency rate is requested more than any other level of supplement.